

On reanalysis production and quality assurance

Dinand Schepers

Bill Bell, Paul Berrisford, Hans Hersbach, Andras Horanyi, Joaquin Munoz-Sabater, Julien Nicolas, Paul Poli, Raluca Radu, Adrian Simmons, Cornel Soci

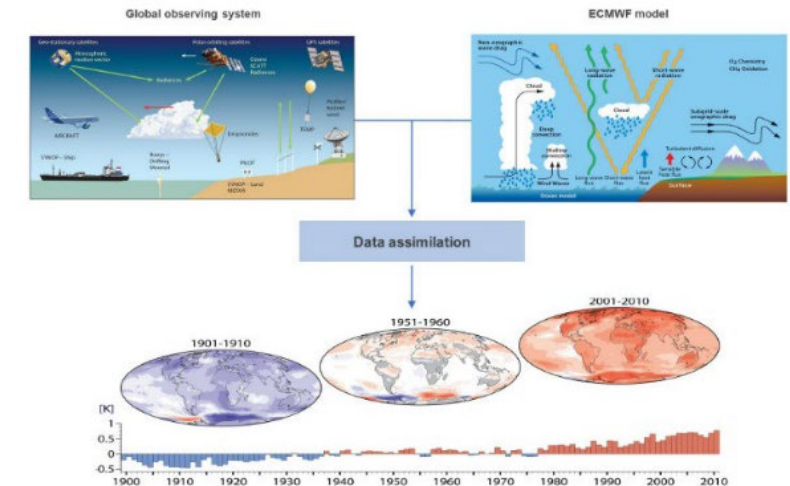
And many others that contributed to ERA5

Reconstruction of the past weather&climate:

- ✓ **Input:** integrator of all observations we have
- ✓ **Output:** convenient and as accurate as possible 'maps without gaps' of 3D atmosphere (+ other domains)

State-of-the-art:

- ✓ Redo historical weather using a modern but fixed NWP system
- ✓ For extended period back in time, but at lower resolution
- ✓ Made available to users in a convenient way
- ✓ Maintained close to NRT



All observations we have

0.75 (1979) – 26 Million (2021) obs per day
Over 200 types of reports

Reprocessed data sets (In blue)

Radiances: SSM/I brightness temp from CM-SAF
MSG from EUMETSAT

Atmospheric motion vector winds: METEOSAT, GMS/GOES-9/MTSAT,
GOES-8 to 15, AVHRR METOP and NOAA

Scatterometers: ASCAT-A (EUMETSAT), ERS 1/2 soil moisture (ESA)

Radio Occultation: COSMIC, CHAMP, GRACE, SAC-C, TERRASAR-x (UCAR)

Ozone: NIMBUS-7, EP TOMS, ERS-2 GOME, ENVISAT SCIAMACHY, Aura
MLS, OMI, MIPAS, SBUV

Wave Height: ERS-1,ERS-2, Envisat, Jason

Improved data usage compared to ERA-I (in blue)

all-sky vs clear-sky assimilation,
latest radiative transfer function, corrections,
extended variational bias control

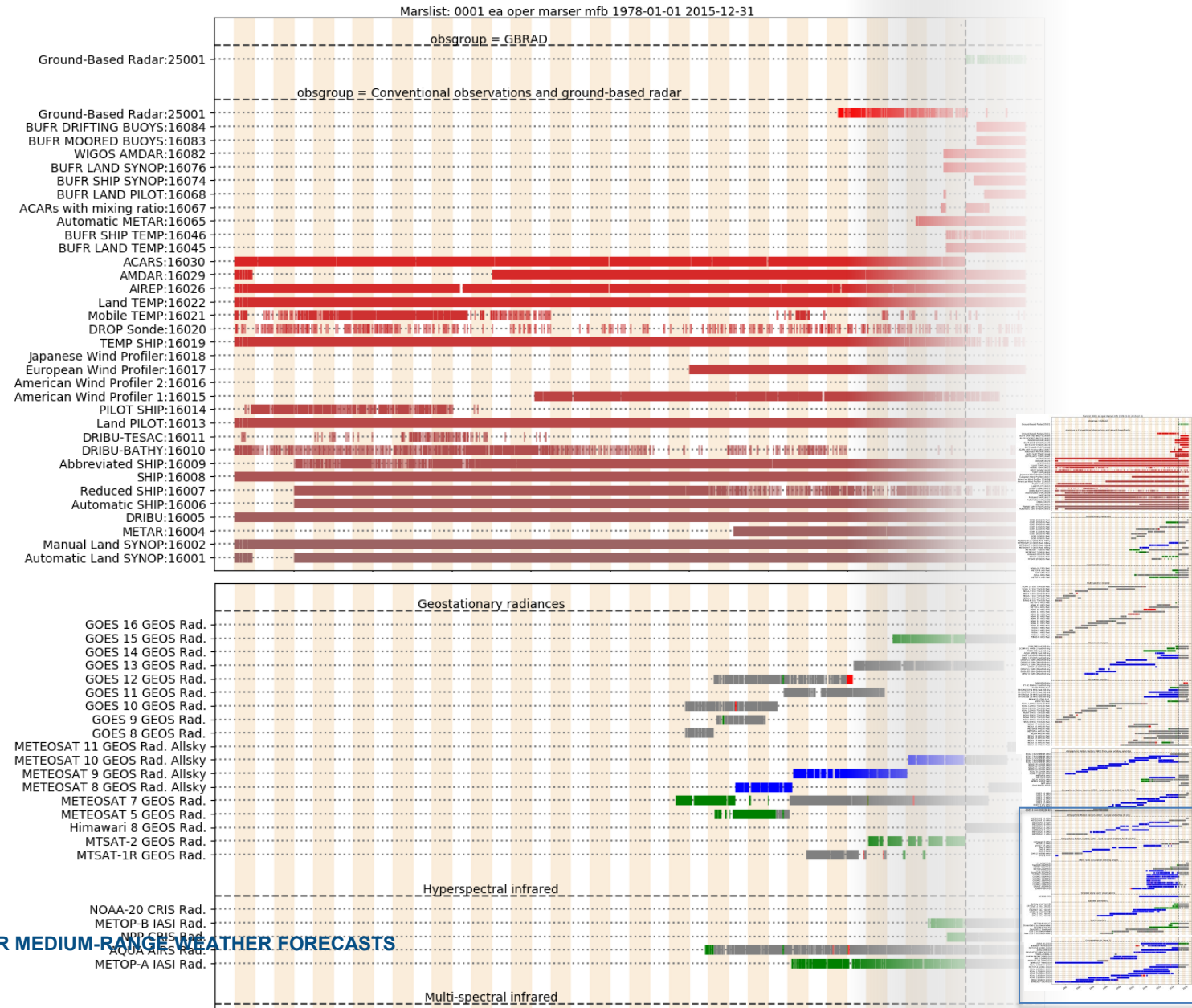
Latest instruments

IASI, ASCAT, ATMS, CrIS, MWHS, Himawari, ...
TAMDAR, MODE-S

ERA5T vs ECMWF NWP operations:

ERA5 only: **AURA MLS**

ERA5 not possible: **Saphir, Aeolus, TEMP descent, SPIRE**



using a modern but fixed NWP system (I)

Every new generation of reanalysis benefits from years of research at ECMWF by about **110 staff** in ECMWF Research Department

Projected benefits of ERA6 over ERA5

- **Improved realism of near-surface quantities and radiative forcing**
 - **vegetation** cover and type, **LAI**, **lake** cover and properties, the **urban tile**
 - New, and more species of, **aerosols** and **GHG's**
- **Improved ocean wave physics**
 - wave physics upgrade
- **4D-Var DA developments**
 - Dynamically evolving **B_{cli}**
 - EDA developments
- **Land DA developments**
 - Revised conventional observation feedback for T2m, RH2m and snow analyses
- **Ocean DA developments**
 - ERA6 will be forced by ORAS6 and OCEAN6 fields

using a modern but fixed NWP system (II)

Add additional, specific functionalities

- **Support for non-current observations**

Revive and maintain IFS-support for *old*, *rescued* or *reprocessed* observation types

- **Optimization and setup**

System optimization for maximum throughput and high-volume analyses archiving

- **Postprocessing and archiving**

E.g. monthly means, vertical integrals, accumulations and high-frequency archiving of analyses of an extended parameter set.

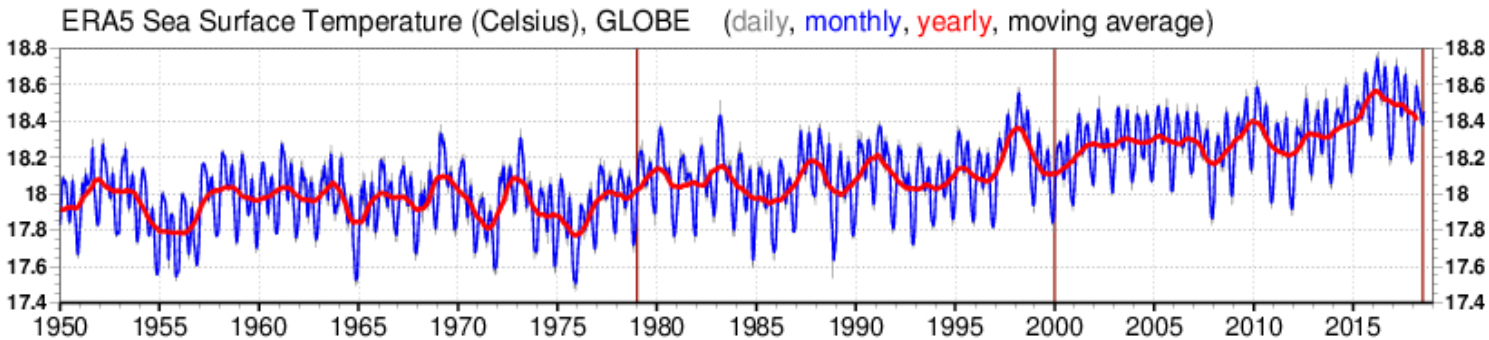
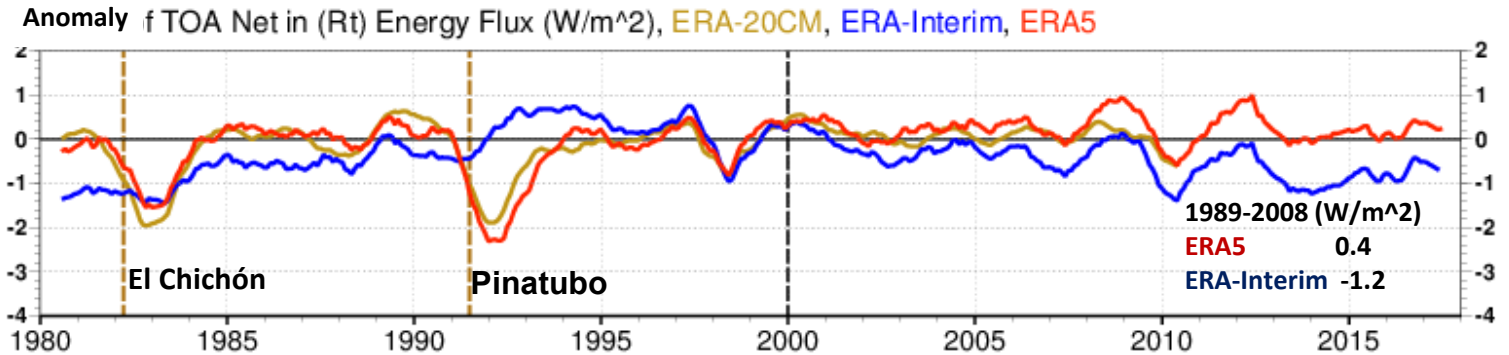
The challenge is to do this in a **maintainable** and **sustainable** way

Accurate and for extended period back in time

CMIP5 recommended data sets

Total solar irradiance, greenhouse gases, ozone, aerosols (including volcanic)

(Prepared in the ERA-CLIM project, ERA-20CM)

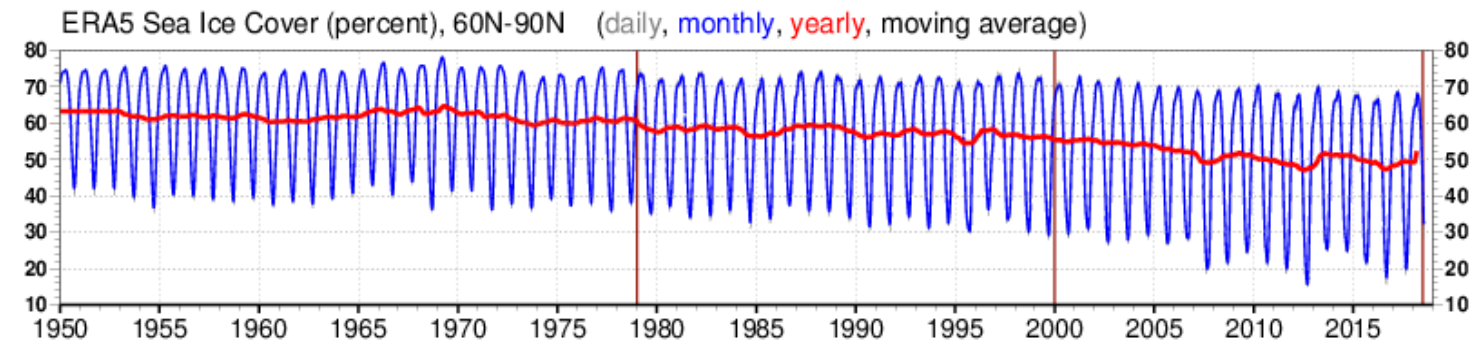


SST and sea ice cover

Carefully selected from OSTIA, OSI-SAF and HadISST2 (Hadley Centre, ERA-CLIM)

Different ensemble members use different SST realizations

(Hirahara et al., 2016)



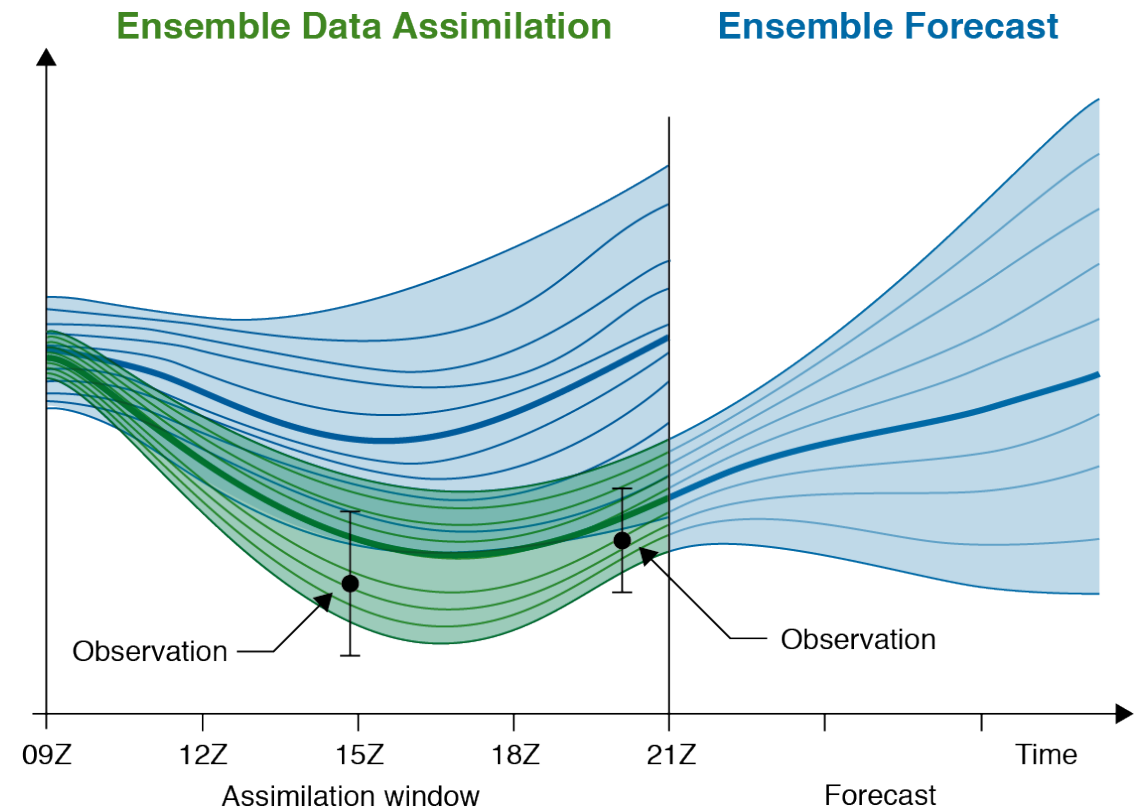
System design

- **Deterministic (HRES)**

Maximum *affordable* resolution

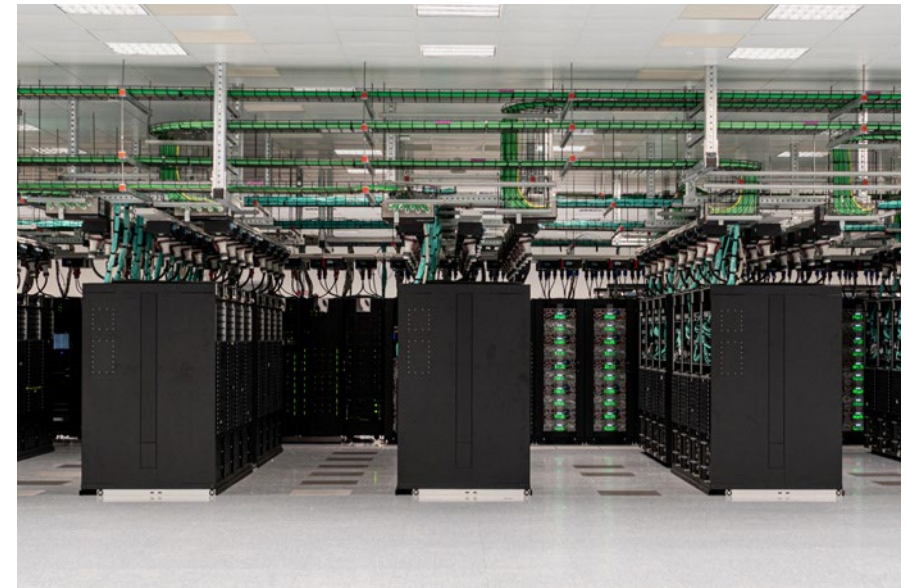
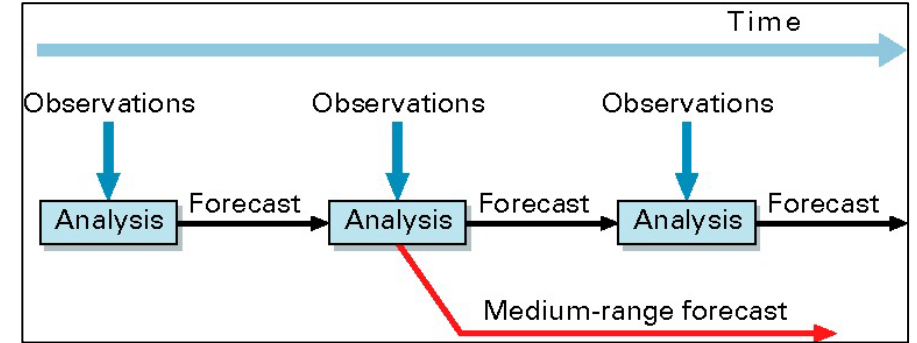
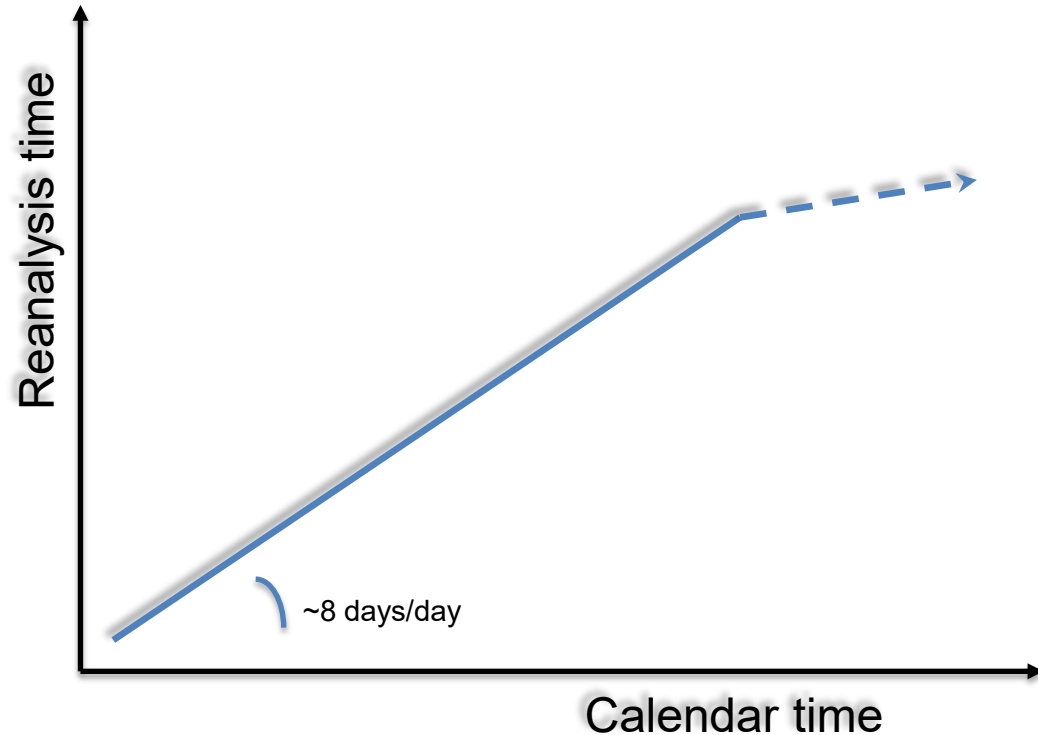
- **Ensemble Data assimilation (EDA)**

Reduced resolution, relatively few members
Provides flow-dependent B for the HRES
Provides uncertainty product in and of itself



Projected production speed and resource requirements

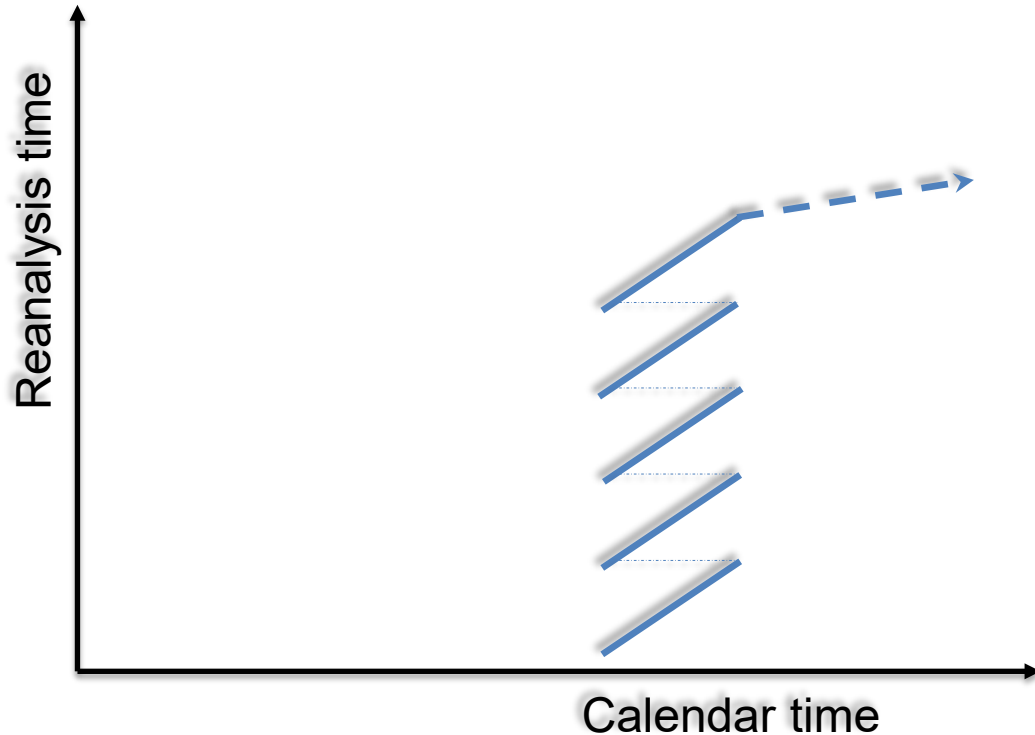
Identify and **resolve bottlenecks** for continuous analysis cycling, with the goal of **maximizing throughput and efficiency**



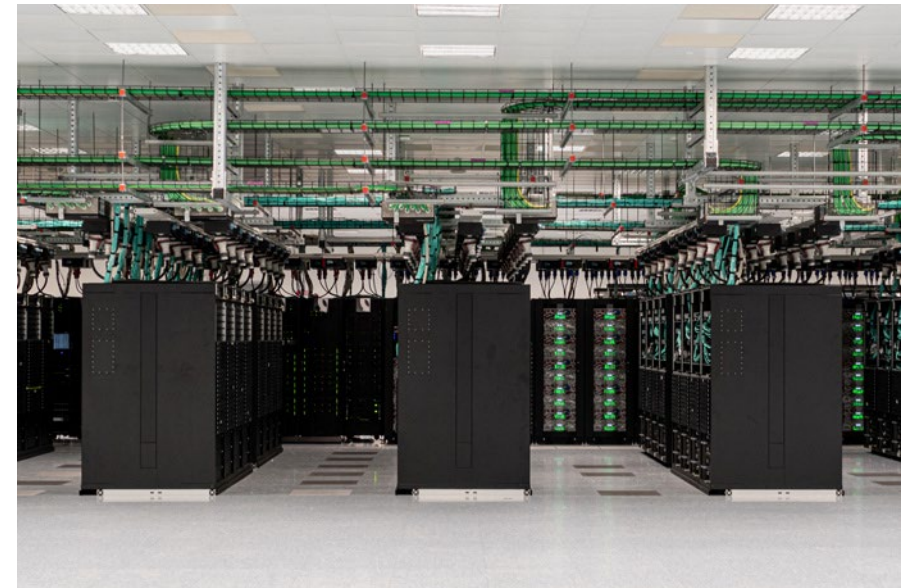
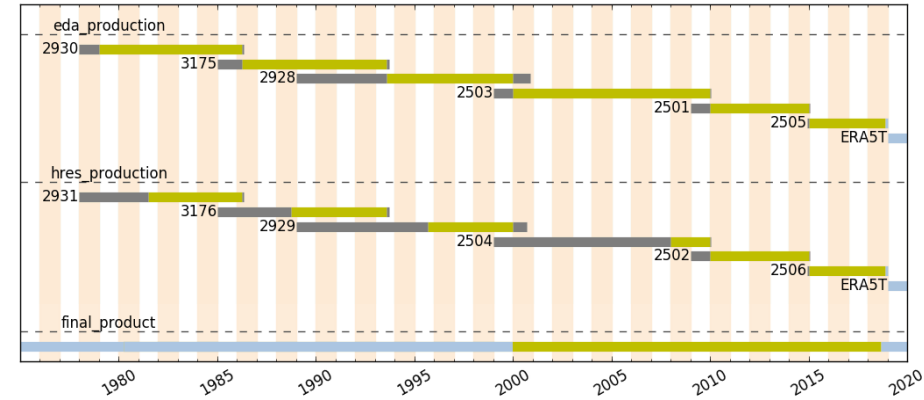
Projected production speed and resource requirements

Production of modern reanalyses is generally parallelized into **production streams**

Trading overall **production time** against instantaneous **(compute) resource** requirements



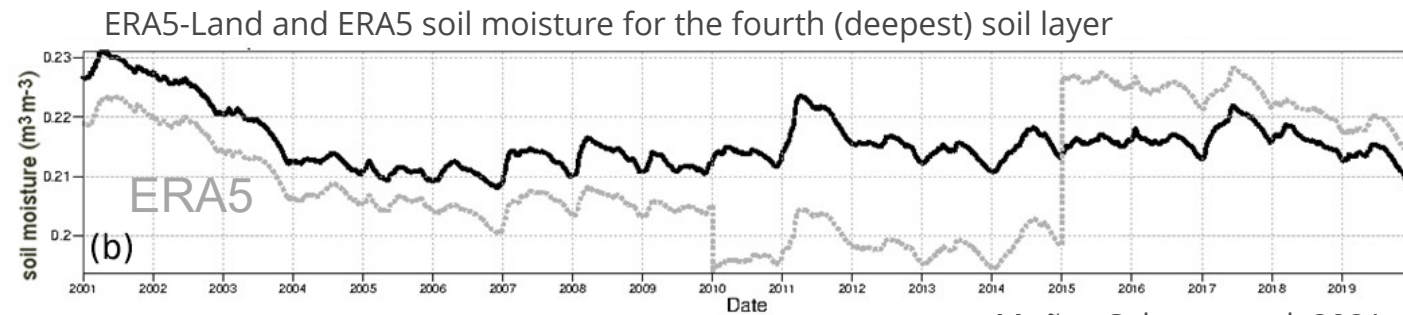
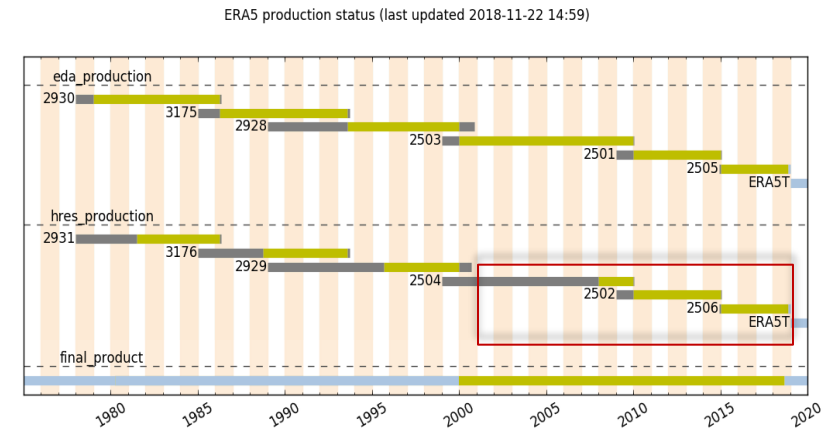
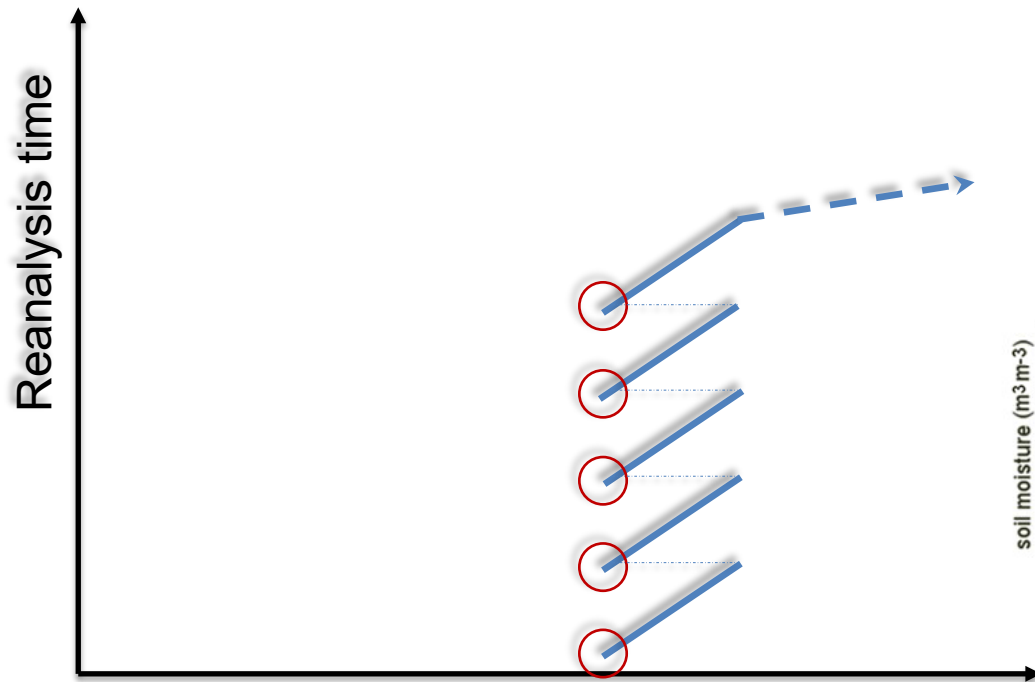
ERA5 production status (last updated 2018-11-22 14:59)



Consideration for parallel production

Added costs of spin-up for each stream

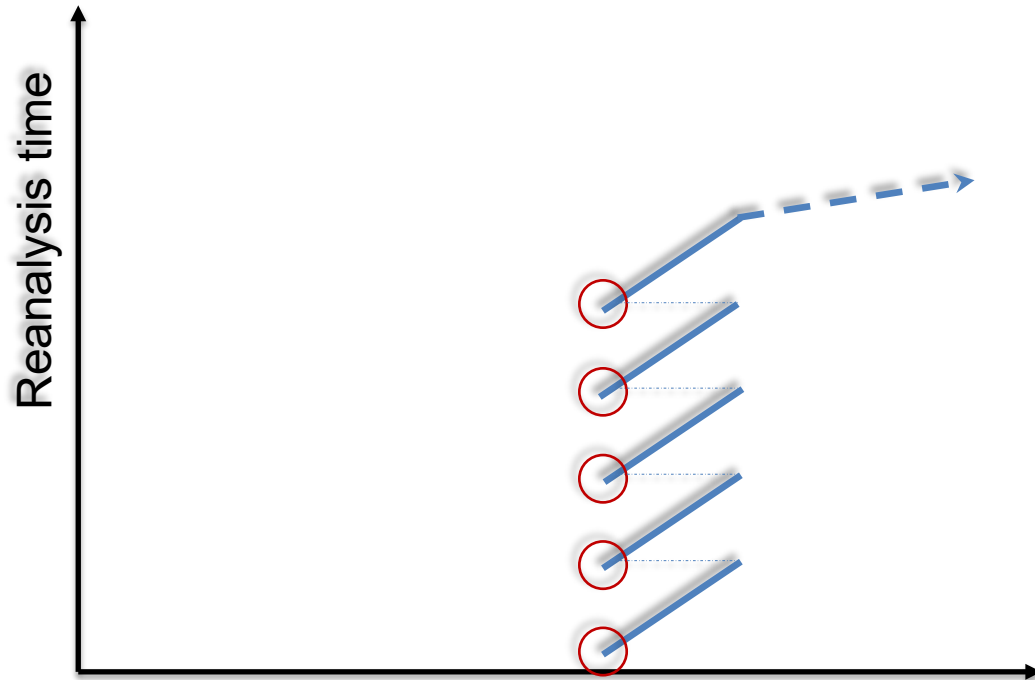
Initialization of poorly observed and/or slowly evolving parameters (e.g. deep soil moisture)



Muñoz-Sabater et al. 2021

Consideration for parallel production: Spin-up

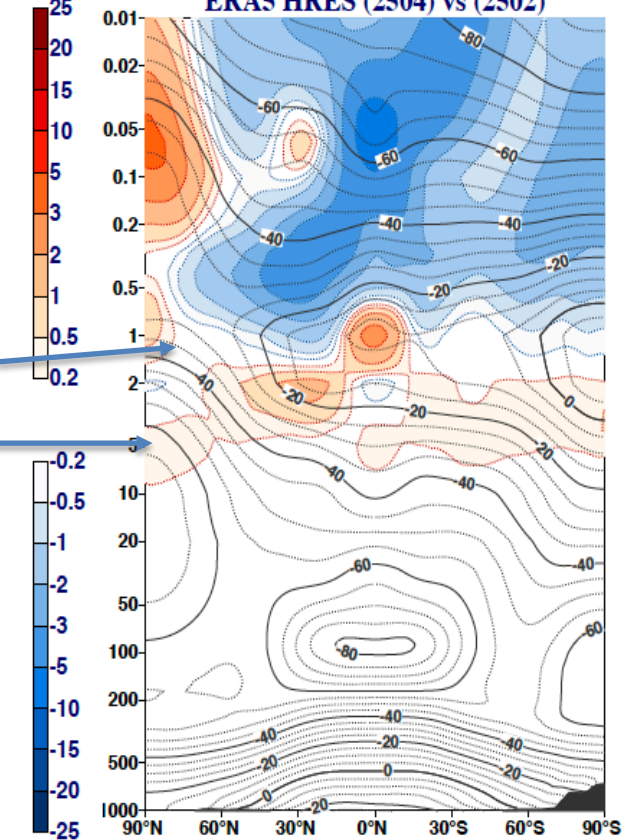
Convergence of VarBC bias estimates is not guaranteed, even after allowing for spin-up



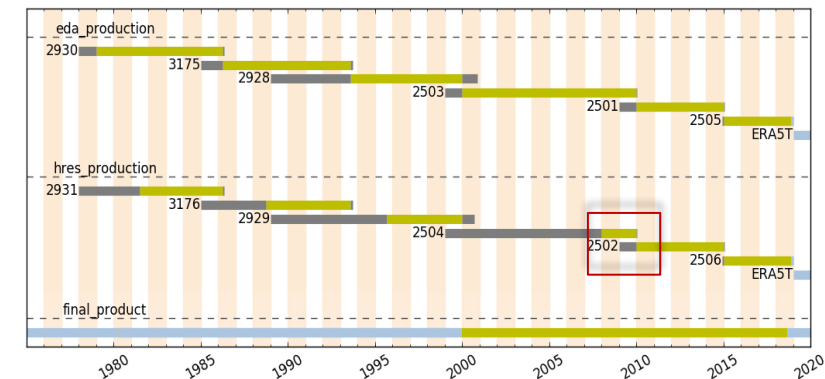
Temperature (Celsius) in OND 2009
ERA5 HRES (2504) vs (2502)

AMSU-A channel 14 (anchored)

AMSU-A channel 13



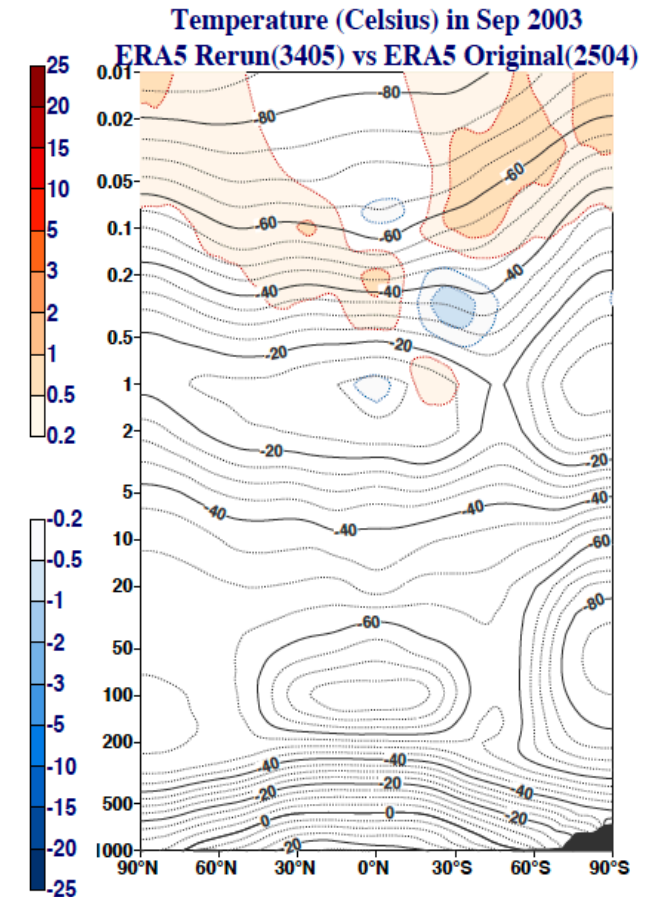
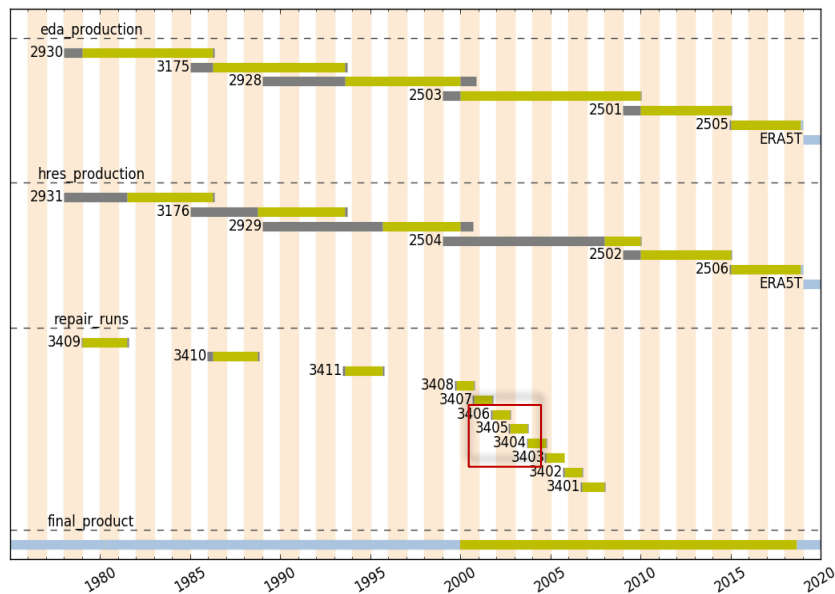
ERA5 production status (last updated 2018-11-22 14:59)



Consideration for parallel production: Spin-up

If we **force convergence of VarBC bias estimates**, by prescribing them to be equal, discontinuities at the seam virtually disappear

ERA5 production status (last updated 2018-11-22 14:59)



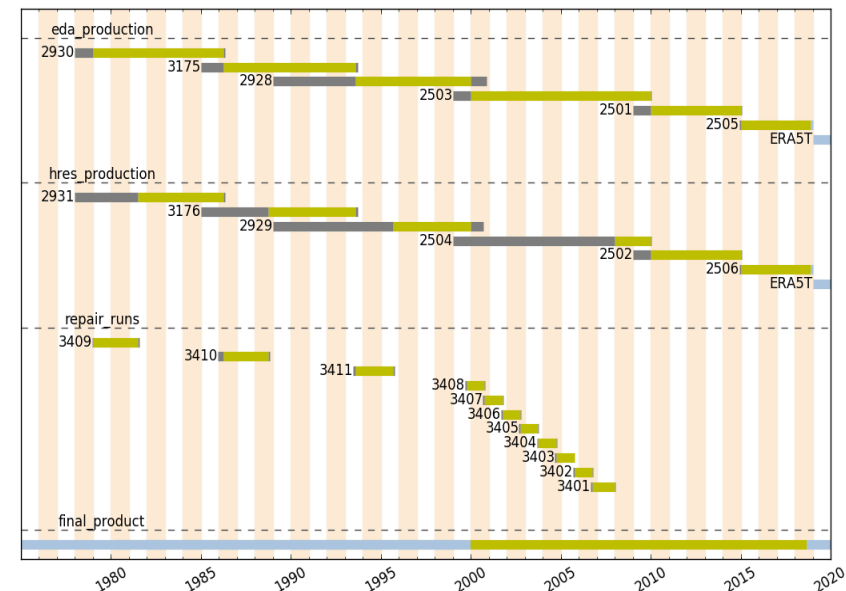
CASE STUDY: Baltic summer sea ice

The prescribed sea ice field tends to exhibit **sea ice in the Baltic Sea in summertime**

Corrective action:

The spurious sea ice was removed from the prescribed field and **HRES repaired** in a series of **repair reruns**

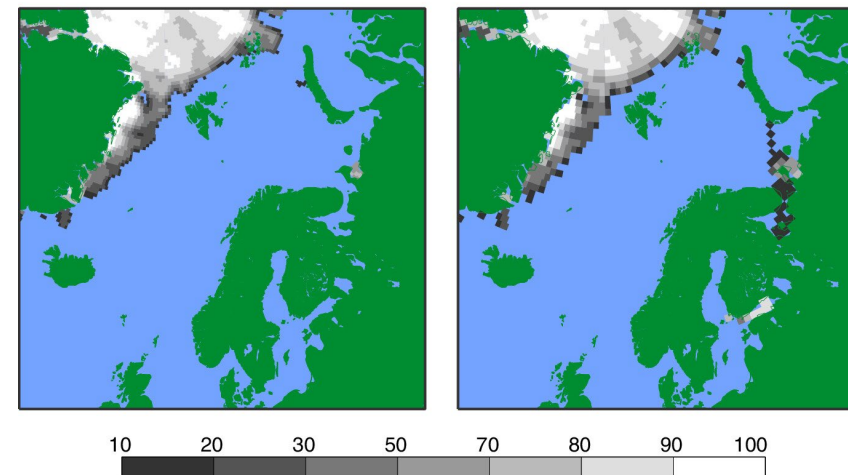
The **ERA5 ensemble was left as-is**. The impact on the ensemble spread is found to be small.



Sea ice cover (percent) on 27 July 2006

(a) ERA5 HRES

(b) ERA5 EDA control



Hersbach et al. 2020

Reconstruction of the past weather&climate:

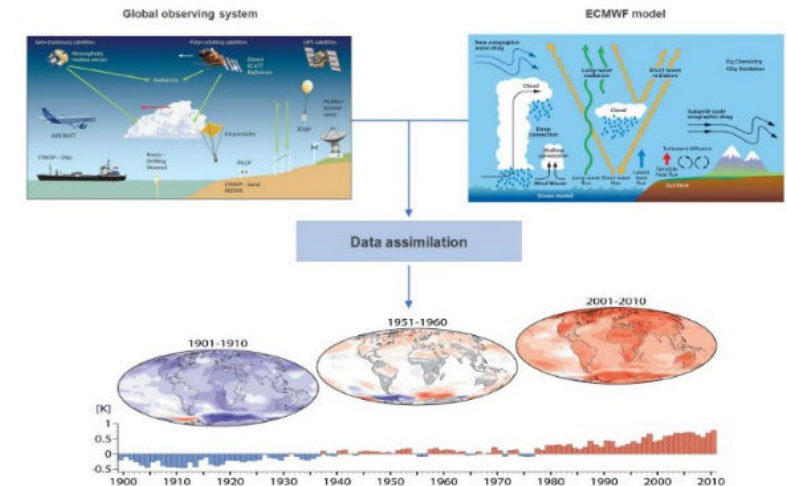
- ✓ **Input:** integrator of all observations we have
- ✓ **Output:** convenient and as accurate as possible 'maps without gaps' of 3D atmosphere (+ other domains)

State-of-the-art:

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Multiple classes of applications:

- ✓ Study of **specific events** or phenomena:
 - accurate (3D) synoptic situation; i.e., **the weather of the day**
- ✓ **Climate monitoring:**
 - Accurate recent synoptic situation + **consistent 30-year climate**
- ✓ **Climate applications:**
 - low-frequency variability of **the mean state**
 - Statistics, e.g., of extremes



Operational monitoring during production

Observations

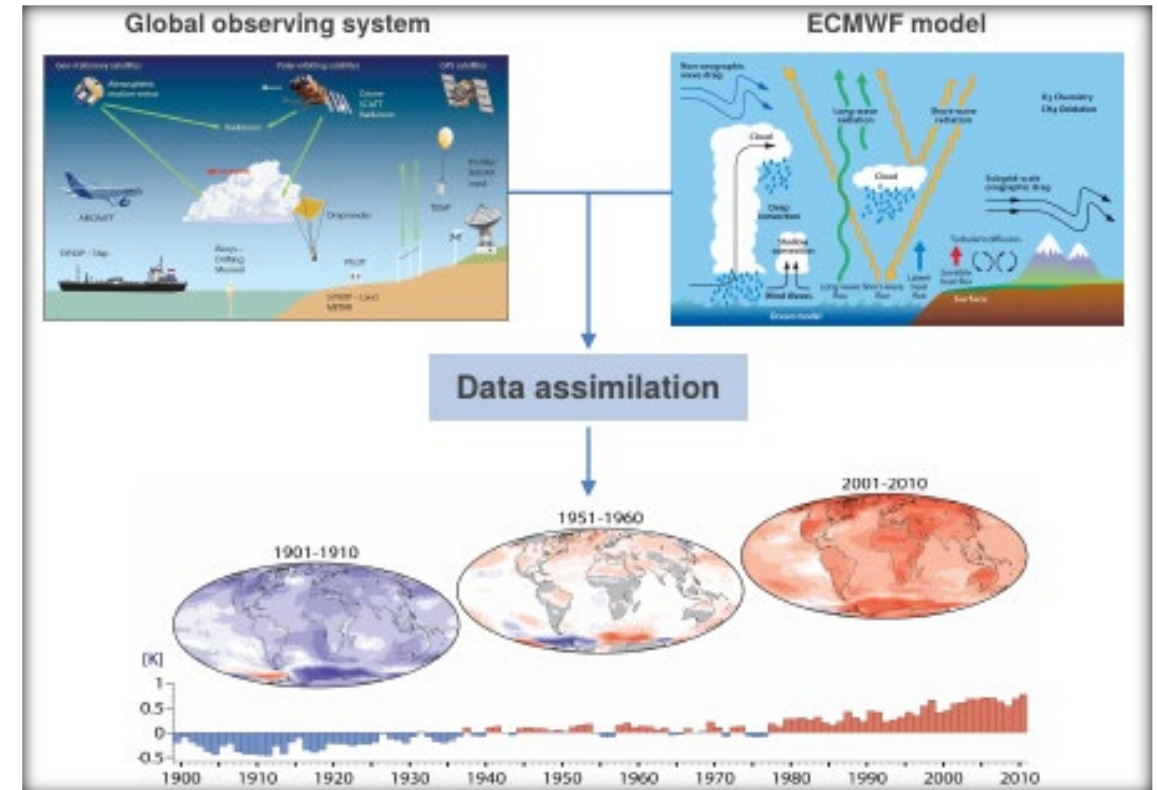
- Observation system completeness

Assimilation

- Assimilation diagnostics and feedback

Reanalysis product

- Quality of the final product



Routine monitoring – Observations space (I)

Completeness and consistency of observational input

We routinely monitor the observation types (reportypes) we assimilate, by comparing against a known reference

Observations used in ERA-Interim, NWP operations

Provides a check on which observations make it into the assimilation

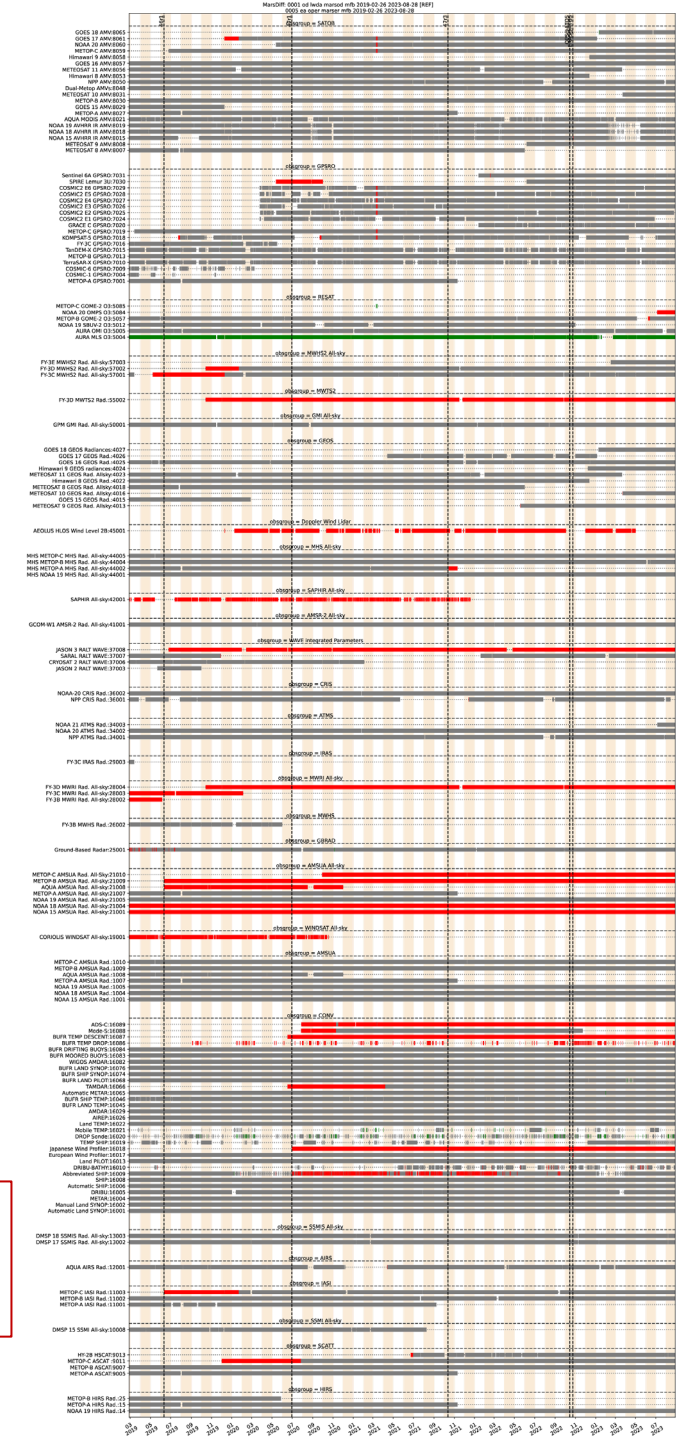
Observations used in ERA5 EDA

Provides a check on consistency with the underlying EDA

All unpacked observations

Provides a check on the fetching, block listing

Missing w.r.t. reference
Additional w.r.t. reference
Present in in both



Routine monitoring – Observations space (II)

Completeness and consistency of observational input

We routinely monitor the observation types (reporttypes) we assimilate, by comparing against a known reference

Powerful

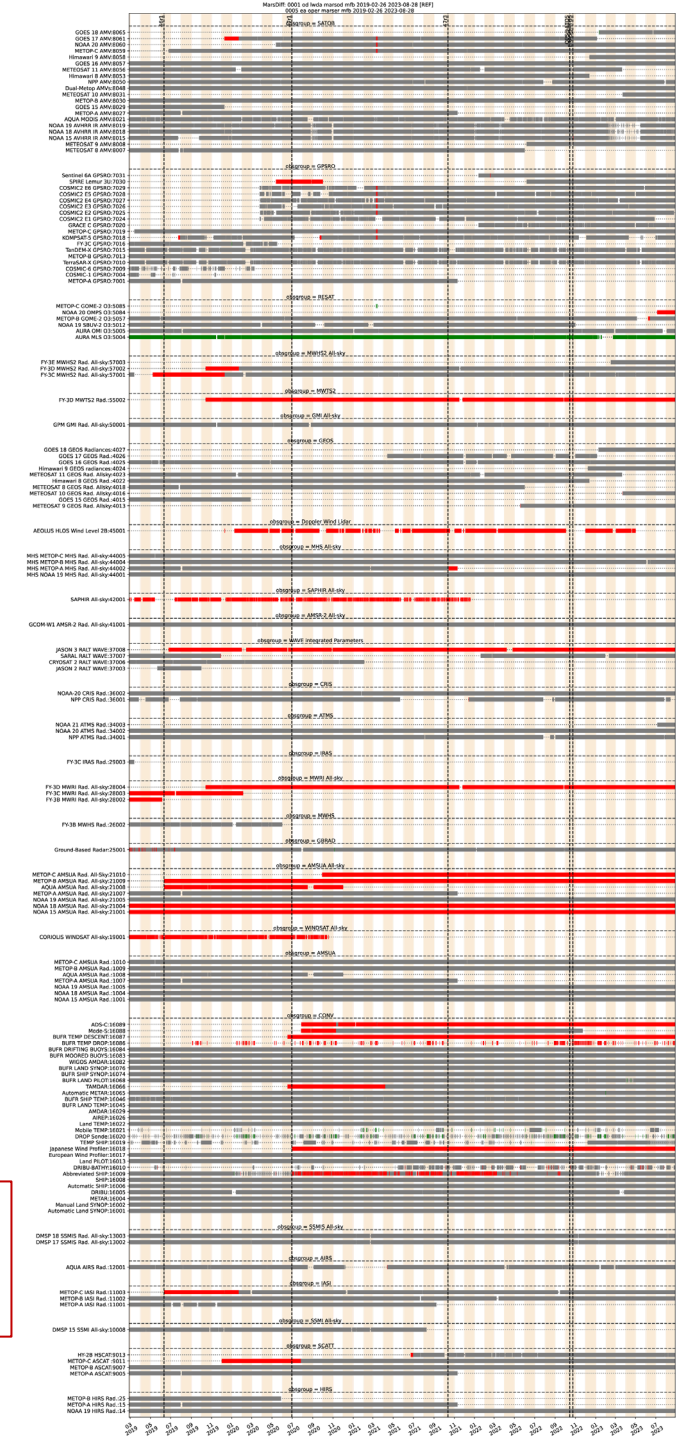
Provides a high-level check through one single plot

Lightweight

Based on MARS *LIST*, functionality in its default form

Flexible

Can ingest full ODB feedback for more granularity



Missing w.r.t. reference
Additional w.r.t. reference
Present in both

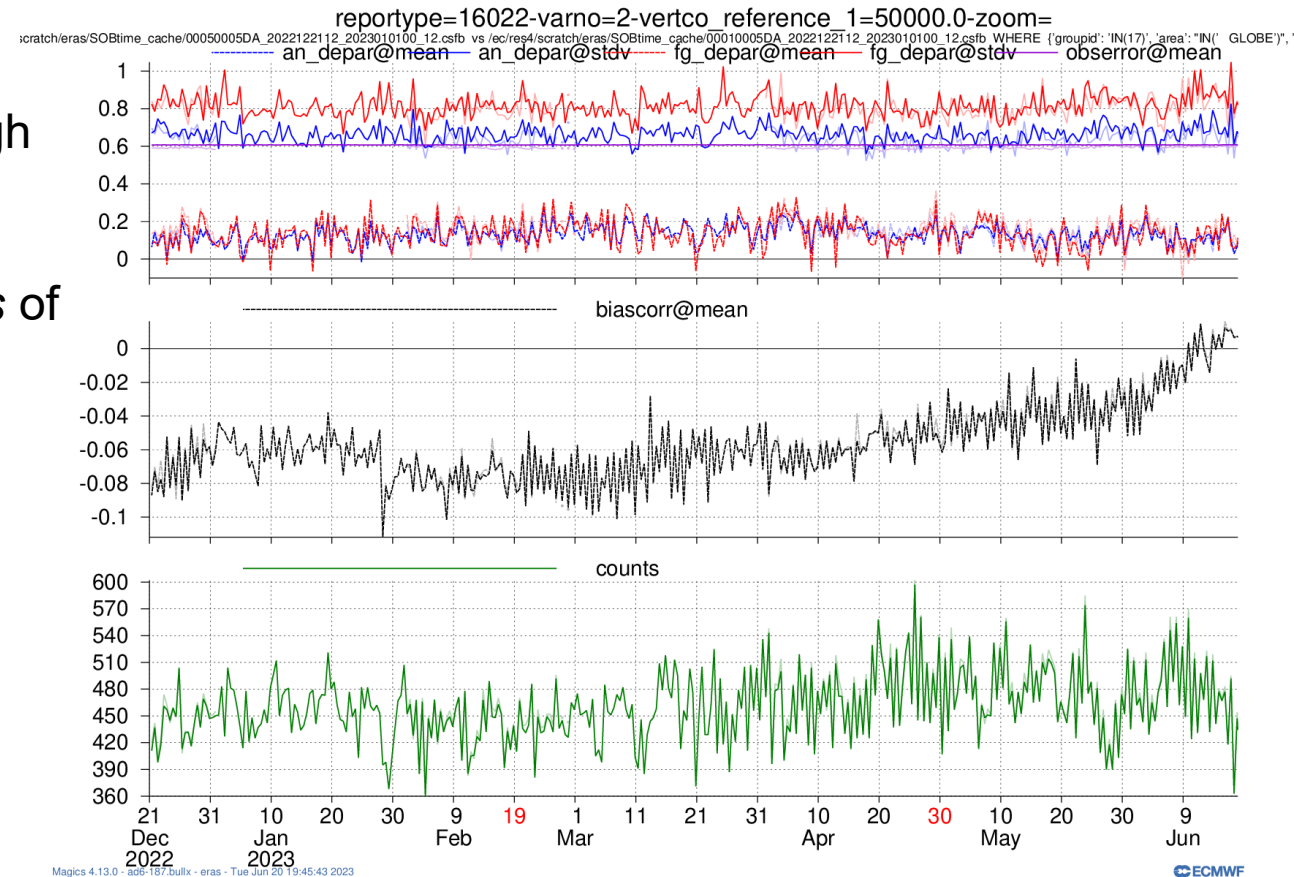
Routine monitoring – Assimilation space (I)

Ensure optimal assimilation of observations

We routinely monitor the assimilation system through *observation feedback*

Based on pre-computed, area-averaged *Timeseries* of

- Number of observations
- applied bias correction
- First guess and analysis departures



Routine monitoring – Assimilation space (II)

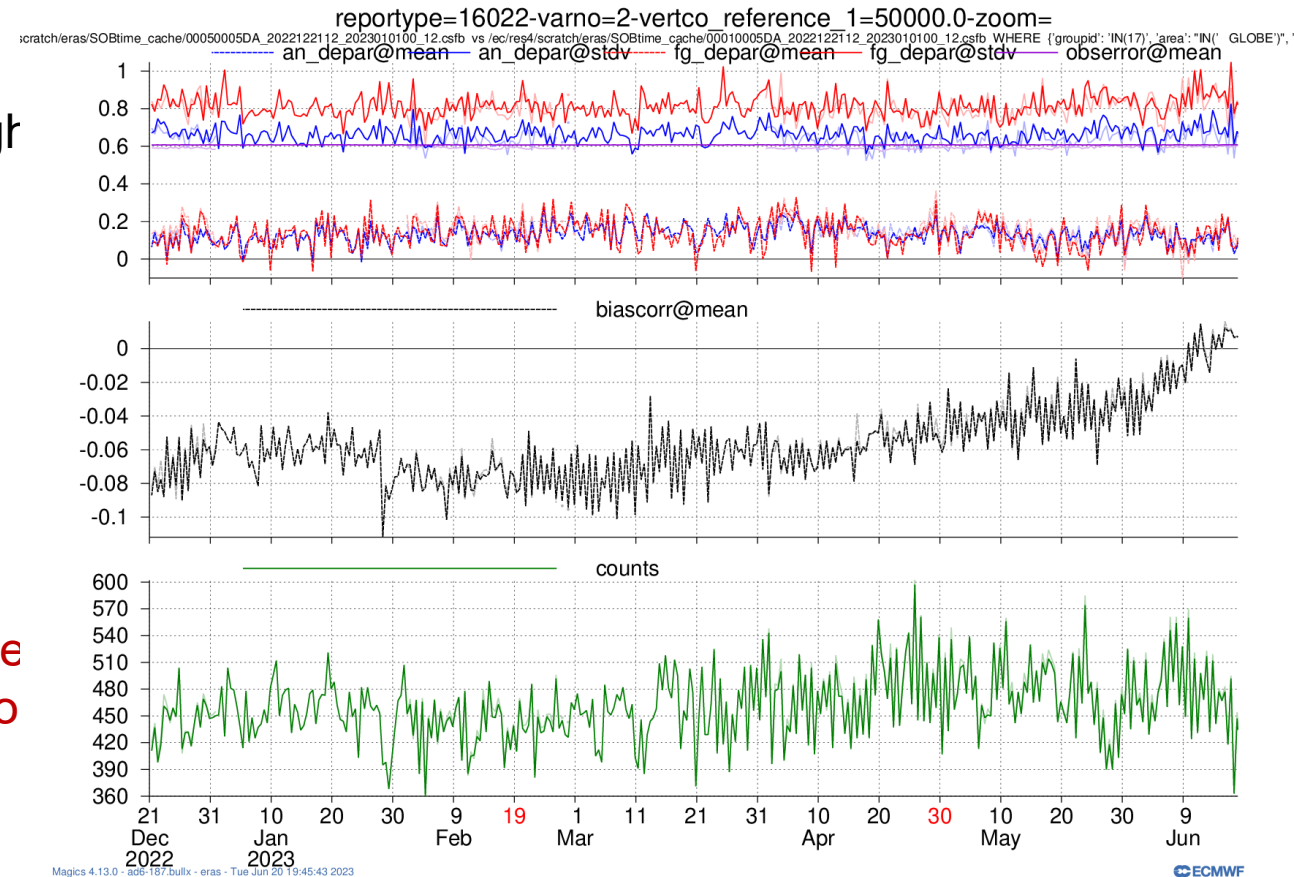
Ensure optimal assimilation of observations

We routinely monitor the assimilation system through *observation feedback*

Flexible, detailed and granular

- 6 predefined regions
- Per, instrument, channel, variable, datum_status
- Requires significant **expertise to interpret**

Typically, useful when a **new observation or instrument** introduced, or in case observation quality **degradation** (rare)



Routine monitoring – Model space (I)

Ensure an optimal reanalysis product

We routinely monitor the actual reanalysis product mainly through comparing the *area-averaged* analysis to a reference

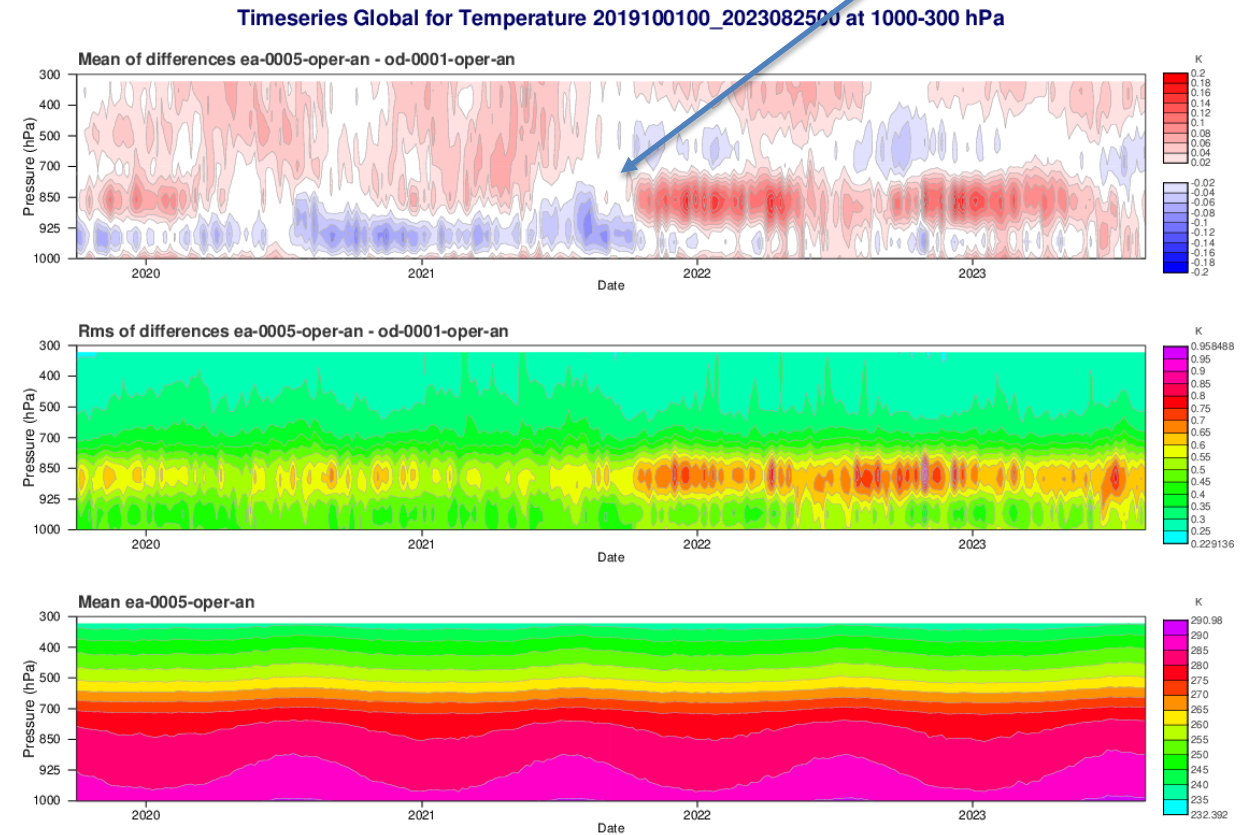
ERA-Interim, NWP operations, ...

Provides a handle on gross errors in the mean state and consistency in time*

The ERA5 background forecast

Provides quasi-increments, a particularly sensitive metric for monitoring mean state

* new NWP cycle 47R3



Routine monitoring – Model space (II)

Ensure an optimal reanalysis product

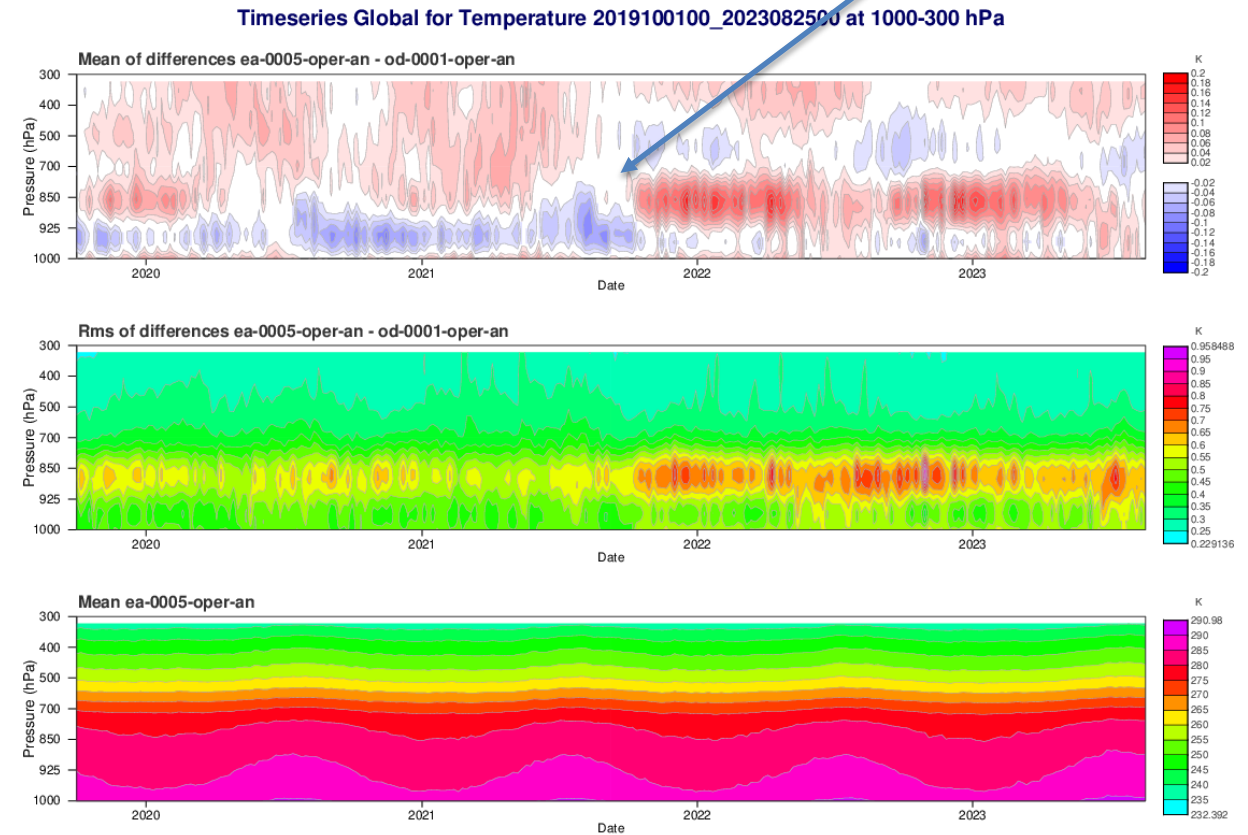
We routinely monitor the actual reanalysis product mainly through comparing the *area-averaged* analysis to a reference

High level, aggregated in model space

- Area-averaged
- pair-wise pre-computed
- Fairly straight-forward to interpret

Typically, useful for catching **gross errors** or **time-inconsistencies** in the analyzed state

* new NWP cycle 47R3



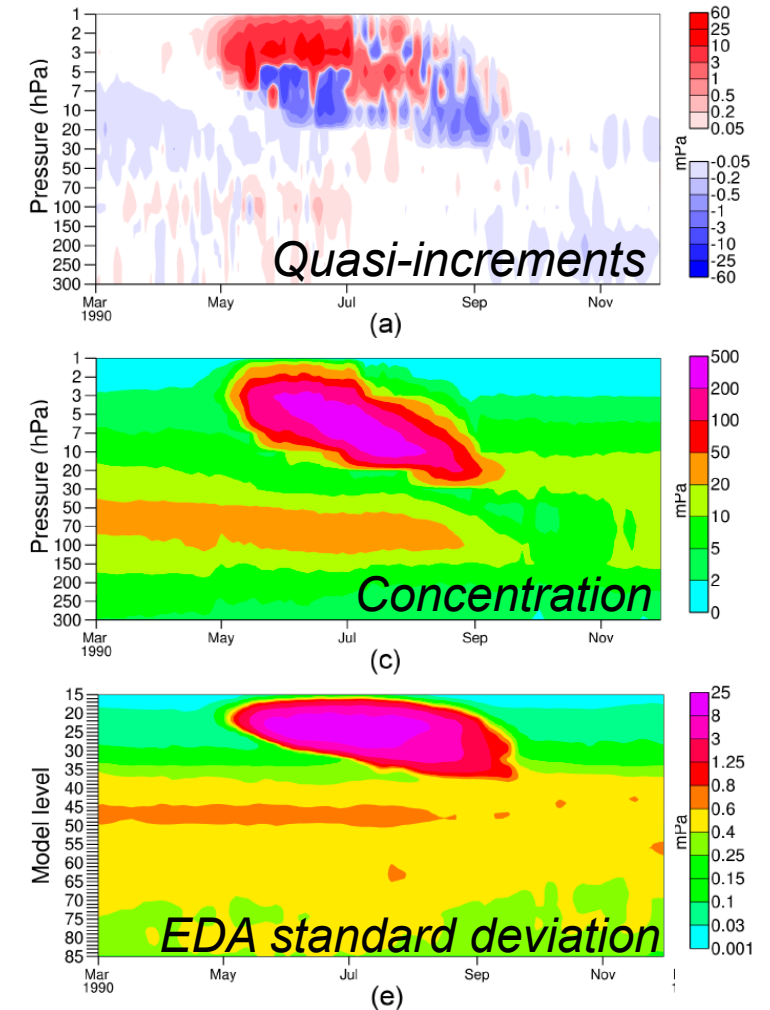
CASE STUDY: Anomalous ozone in Antarctic polar night

In polar night conditions during the 80's and 90's ERA5 produced physically unrealistic amounts of ozone in the high stratosphere

Diagnosis:

Assimilating SBUV observations above 5 hPa induces increments over the *unobserved* winter pole, adding ozone and simultaneously inflating the EDA spread. Establishing a positive feedback loop.

Area-averaged (Antarctic) ozone-



CASE STUDY: Anomalous ozone in Antarctic polar night

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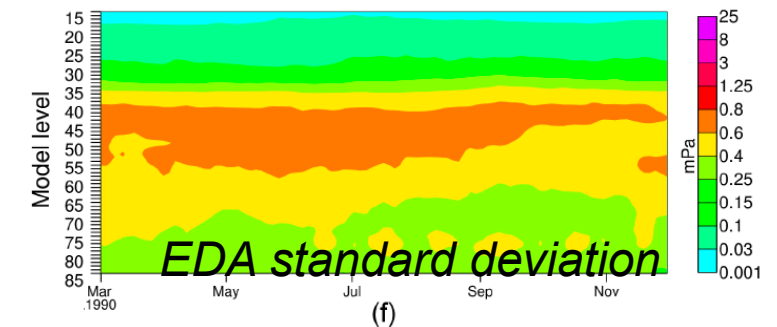
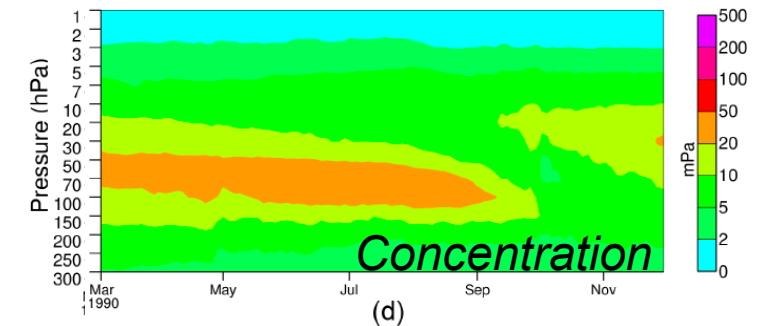
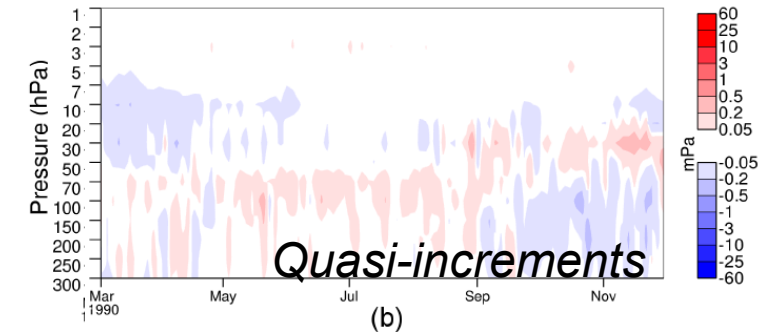
Assimilating SBUV observations above 5 hPa induces increments over the *unobserved* winter pole, adding ozone and simultaneously inflating the EDA spread. Establishing a positive feedback loop.

Corrective action:

Partial blacklisting of SBUV in ERA5 (above 5 hPa) and full blacklisting in the EDA was shown to prevent occurrence. And the corrected fields were released to the public.

Work has been done on the IFS to prevent reoccurrence in future reanalysis

Are



Routine monitoring – Implementation for ERA5

ERA5 Monitoring Tools

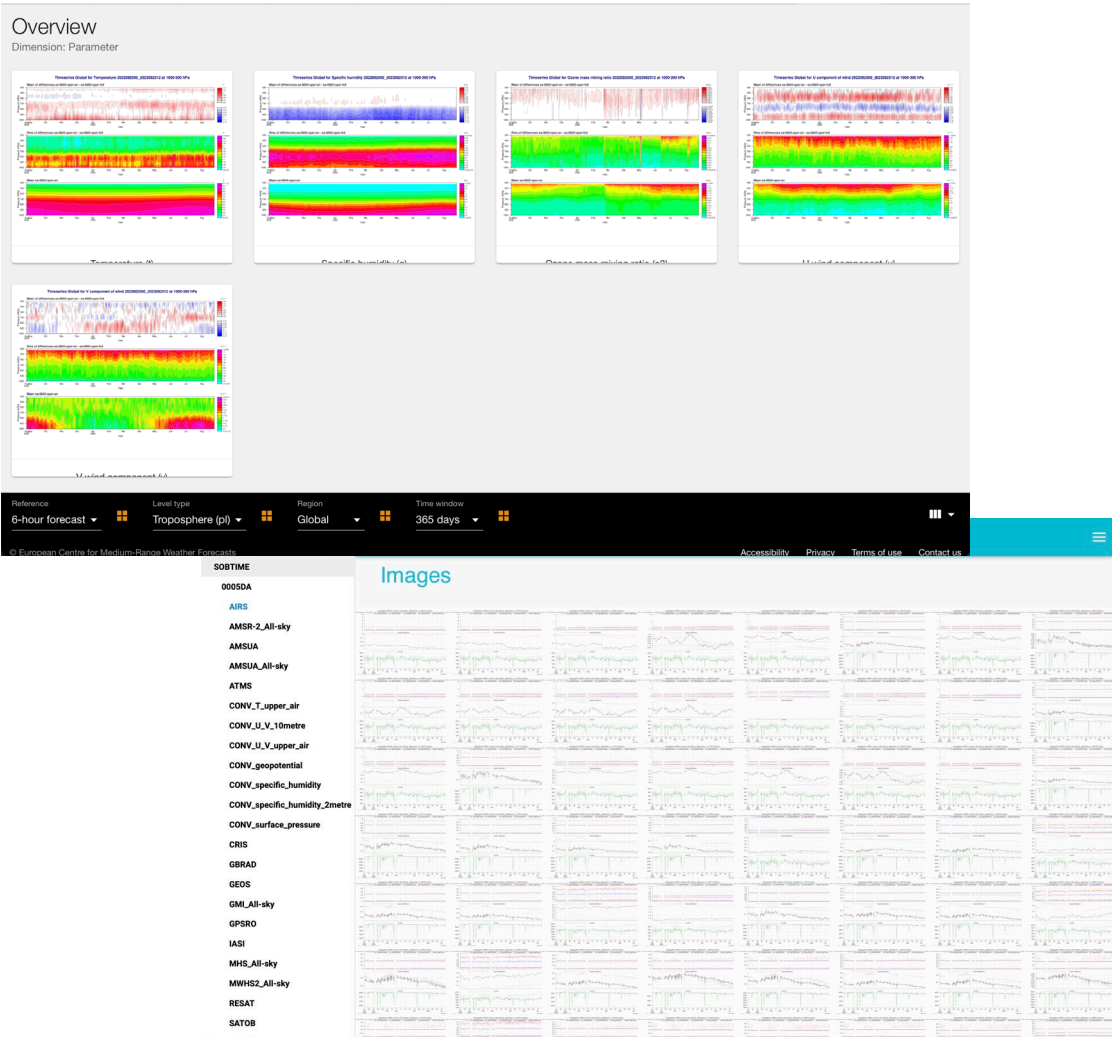
- ERA5 MONITORING: ALL LINKS
- ERA5 MONITORING: MPs
- ERA5 MONITORING BRIEFINGS
 - 1940 suite (scout: 3889)
 - 1950 suite (EDA: 3588/3646, HRES: 3589/3647)
 - 1958 suite (EDA: 3584/3648, HRES: 3585/3649)
 - 1965 suite (EDA: 3582/3650, HRES: 3583/3651)
 - 1967 test (3994/3995)
 - 1972 suite (EDA: 3580/3644 HRES: 3581/3645 Scout: 3563)
 - 1989 suite (EDA: 2928, HRES: 2929)
 - BX rerun: 1939 suite (EDA: 4216, HRES: 4217)
 - BX rerun: 1944 suite (EDA: 4214, HRES: 4215)
 - BX rerun: 1949 suite (EDA: 4212, HRES: 4213)
 - BX rerun: 1954 suite (EDA: 4210, HRES: 4211)
 - BX rerun: 1959 suite (EDA: 4043, HRES: 4044)
 - BX rerun: 1964 suite (EDA: 4052, HRES: 4053)
 - BX rerun: 1969 suite (EDA: 4050, HRES: 4051)
 - BX rerun: 1974 suite (EDA: 4054, HRES: 4055)
- COMP: ETED: 1978 suite (EDA: 2930, HRES: 2931)
- COMPLETED: 1995 suite (EDA: 3177, HRES: 3178)
- COMPLETED: 2009 suite (EDA: 2501, HRES: 2502)
- COMPLETED: 2009 suite (EDA: 2501, HRES: 2502)
- COMPLETED: 2009 suite (EDA: 2501, HRES: 2502)
- Near Real-Time (NRT) suite (EDA: 2505; HRES: 2506, former 2443/2445)
 - NRT suite issues
 - NRT suite - notes 1 (September, 2016 - March, 2017)
 - NRT suite - notes 2 (April, 2017 - September, 2017)
 - NRT suite - notes 3 (October, 2017 - March, 2018)
 - NRT suite - notes 4 (April, 2018 - October, 2018)
 - NRT suite - notes 5 (October, 2018 - April, 2019)
 - NRT suite - notes 6 (April, 2019 -)
 - NRT suite - notes 7 (December 2019 - December 2020)
 - NRT suite - notes 8 (January 2021 -)
 - NRT suite - notes 9 (January 2023 -)
 - Summary of ERA5 infrared instruments-related issues
 - Summary of ERA5 microwave instruments-related issues
 - Summary of ERA5 ozone-related monitoring findings (January, 2017)
 - Summary of ERA-Interim issues
- ERA5 Monitoring suite(s)
- Tool maintenance and upgrading

Weekly monitoring team meetings with one or more designated 'monitoring person(s)' or MP

- Up to 6 production experiments per MP
- In the observation-rich era, 1,000s of plots/metrics to monitor, per stream
- Findings are documented, discussed, and actioned if needed

Full 'production log' for all ERA5 streams

Routine monitoring – Reflection and lessons



- The vast majority of issues logged were identified and actioned in manner that has **not negatively affected the final ERA5 product**
- Time for investigations and options for **corrective actions are often limited**
- Monitoring is effectively done **per-stream**, complicating early assessment of **long-term trends** and slowly varying (climate) signals
- This manner of monitoring is **labor intensive**, and it does not scale well
- Practically, it becomes a challenge to quickly and efficiently **access all monitored metrics**

To get better, we need to learn from our mistakes...

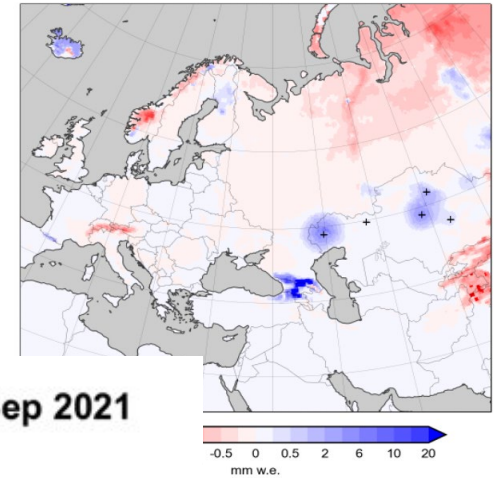
CASE STUDY: Anomalous snow depth in ERA5T

Anomalous snow depth in the *preliminary* ERA5 NRT product

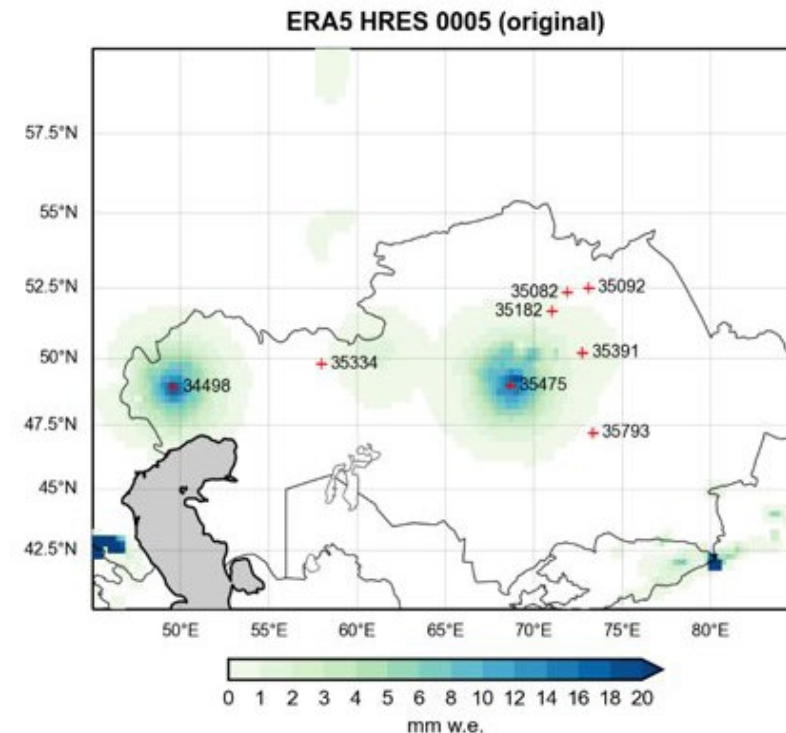
Hourly analysis of snow depth in ERA5 inadvertently interfered with the observation quality control

Anomalous snow depth observations were assimilated

(b) Snow depth • September 2021



Daily average snow depth • 18 Sep 2021



CASE STUDY: Anomalous snow depth in ERA5T

Anomalous snow depth in the *preliminary* ERA5 NRT

Hourly analysis of snow depth in ERA5 inadvertently interfered with the observation quality control

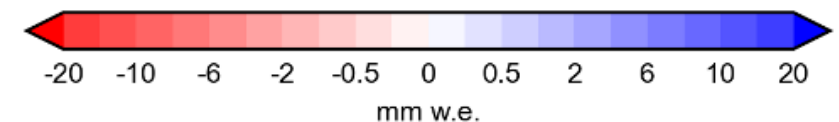
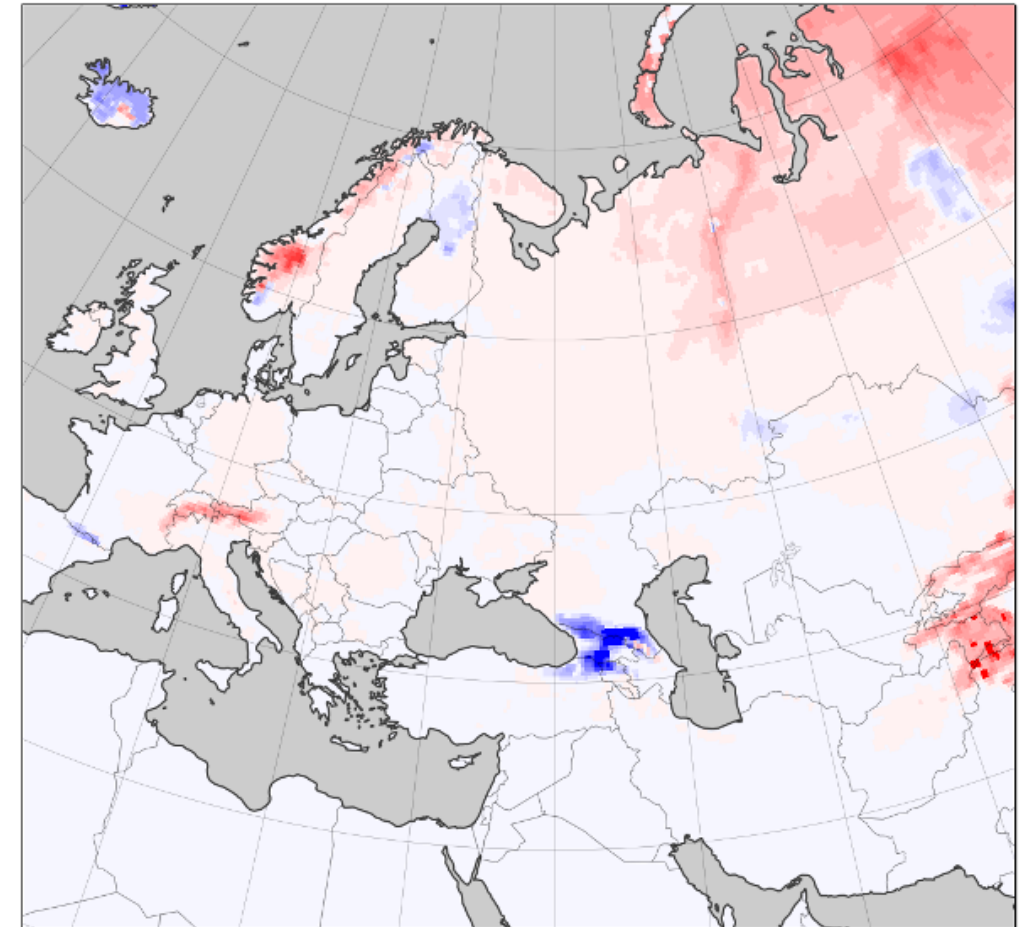
Anomalous snow depth observations were assimilated

Corrective action:

Adopt NWP Quality Control assessments, applying them to ERA5T – preventing the anomalous obs from being assimilated

Rerun and repair the affected *preliminary* released data and **inform users**

(b) Snow depth • September 2021



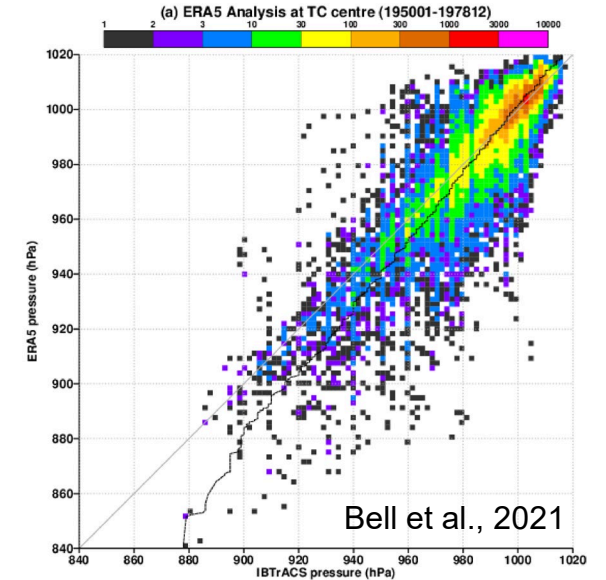
CASE STUDY: Unrealistically strong cyclones in early ERA5

Unrealistically strong cyclones up to 840 hPa and ocean waves up to 31 metres

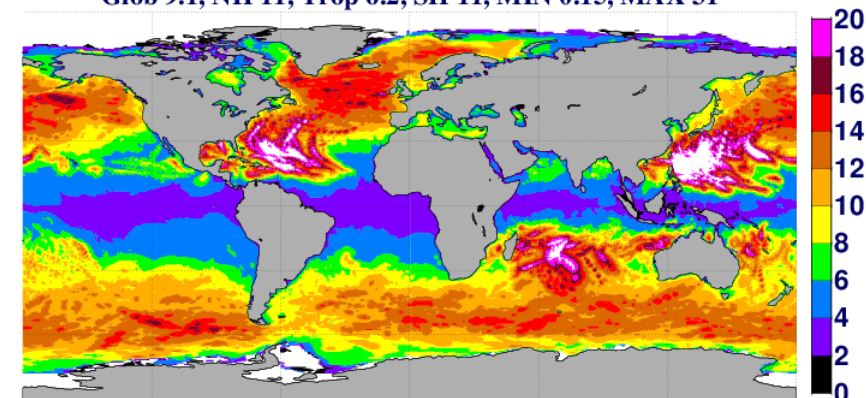
In the original back extension, IBTrACS observations had been given high weight

The data was well assimilated, departure statistics at obs location looked ok.

However, 4D-Var regularly moved the minimum of extreme cases away from the obs location



(b) Max Sign. wave height (m) for ERA5 Prelim, 1950-1978
Glob 9.1, NH 11, Trop 6.2, SH 11, MIN 0.15, MAX 31



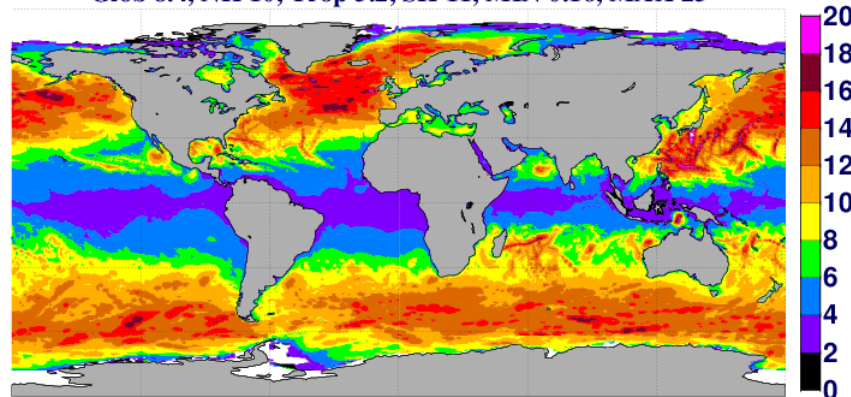
CASE STUDY: Unrealistically strong cyclones in early ERA5

RESOLVED: An improved production, now going back to 1940, resolves this

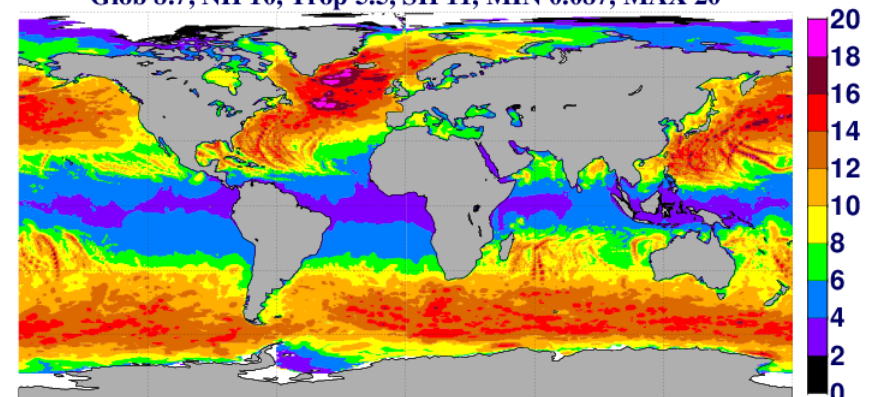
Additional, 'stricter' variational quality control (Huber norm) significantly reduces the weight assigned to IBTrACS observations, and rejects the very lowest central pressures (< 910hPa)

Extreme statistics are now more in line with 1980 onwards

(d) Max Sign. wave height (m) for ERA5, 1940-1981
Glob 8.4, NH 10, Trop 5.2, SH 11, MIN 0.16, MAX 25



(f) Max Sign. wave height (m) for ERA5, 1981-2022
Glob 8.7, NH 10, Trop 5.5, SH 11, MIN 0.087, MAX 20



Ongoing maintenance in NRT

Continuous maintenance needed in Near-Real-Time

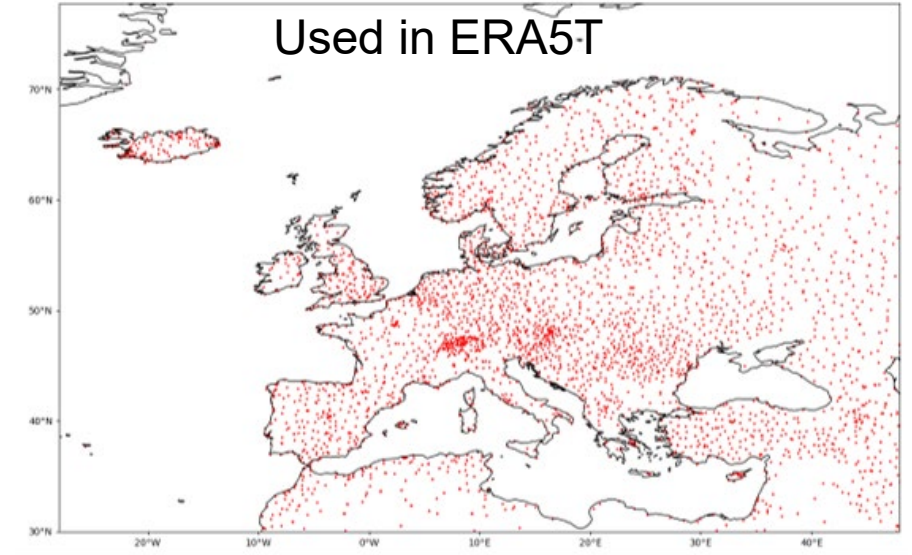
- *Newly available (or reformatted!) observations*
- *System- and software maintenance*

Requires close cooperation with research and operational teams

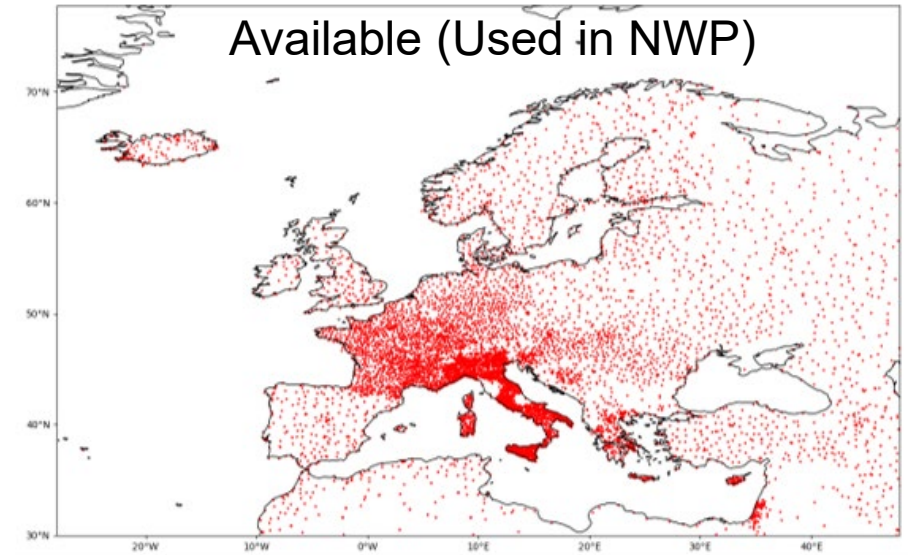
Requires dedicated testing and assessment for each update

T2m observations @ Feb 13, 2023

Used in ERA5-T



Available (Used in NWP)



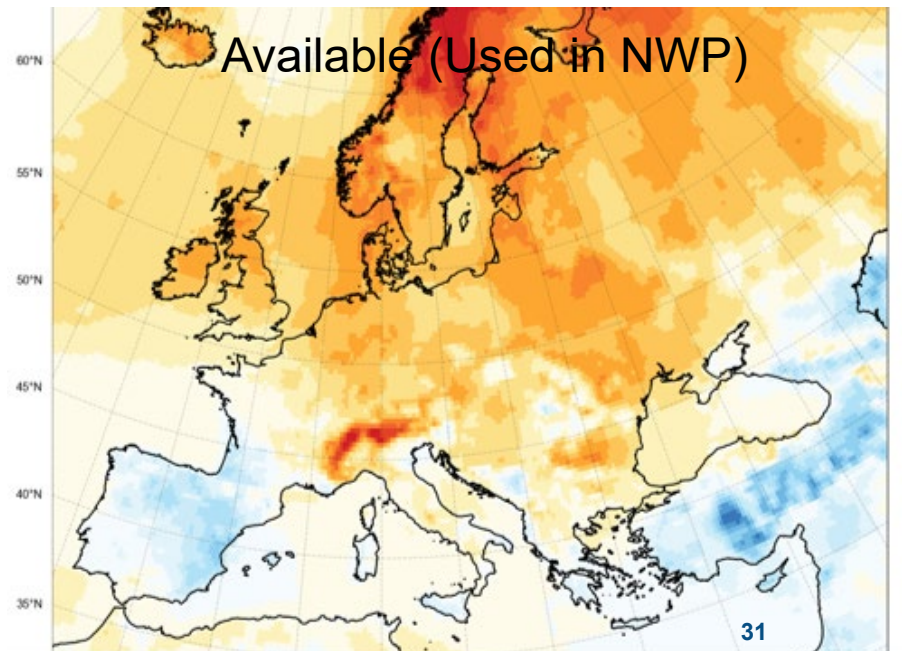
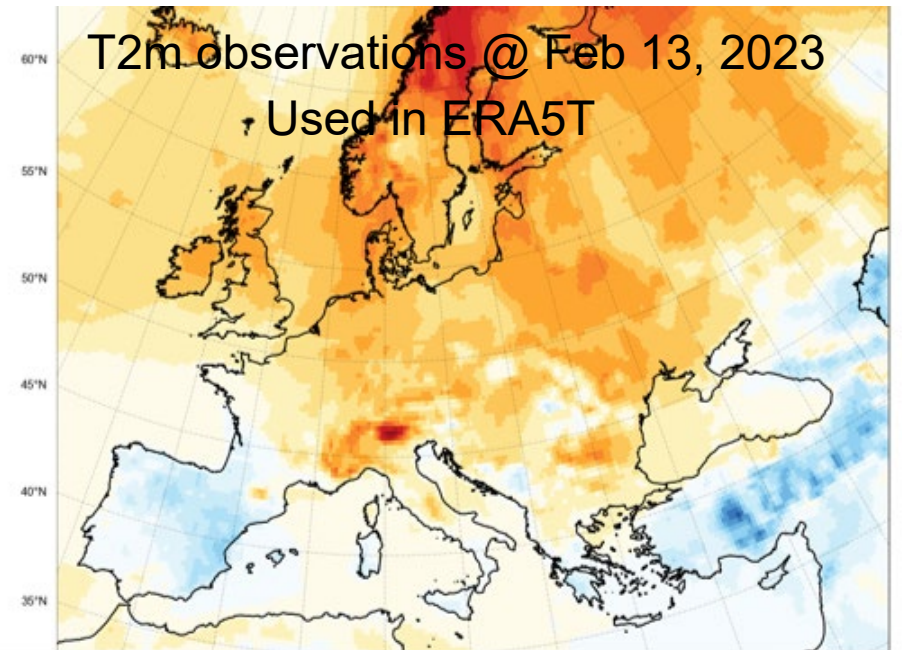
Ongoing maintenance in NRT

Continuous maintenance needed in Near-Real-Time

- *Newly available (or reformatted!) observations*
- *System- and software maintenance*

Requires close cooperation with research and operational teams

Requires dedicated testing and assessment for each update



In summary (I)

Reanalysis benefits from the work of large and diverse community which take time to collect, assess and integrate

Production of a reanalysis is resource intensive and not a (yet) a routine, fire-and-forget exercise. It requires close and continuous monitoring throughout its production lifetime

Routine monitoring as implemented for ERA5 is providing good handles on the assimilation system and the mean state of the reanalysis product, it has shown weaknesses in detecting localized anomalies, even if severe.

Monitoring and QA methods applied during production of a ERA5 ultimately rely on having eyes on plots and expert judgement, and are therefore weigh heavy on available human resources

With an increased number and diversity of applications for reanalyses, product requirements are diversifying. This spawns the need for monitoring and QA at production-time to adapt.

To reflect upon

Based on what we've seen, can we confidently, positively answer the following questions

Are we monitoring **the right things**?

- long term trends vs.(?) localized, rare problems
- move towards more complex, coupled reanalysis systems

Are we monitoring **enough**? Can we monitor enough? Do we want to?

- (human) resources, experience, expert knowledge
- Growing breadth of applications

Are we monitoring in the **right way**? Leveraging the **right tools**?

- Can a machine (learning application) assist?