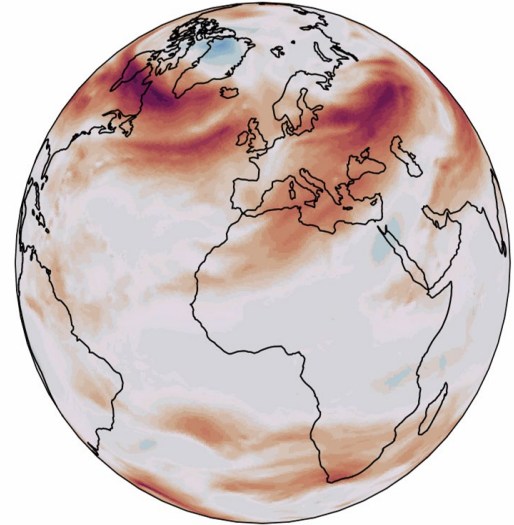


# Forecasting Tomorrow(T): Foundation Model in Earth Science - Towards Atmospheric Prediction and Analysis

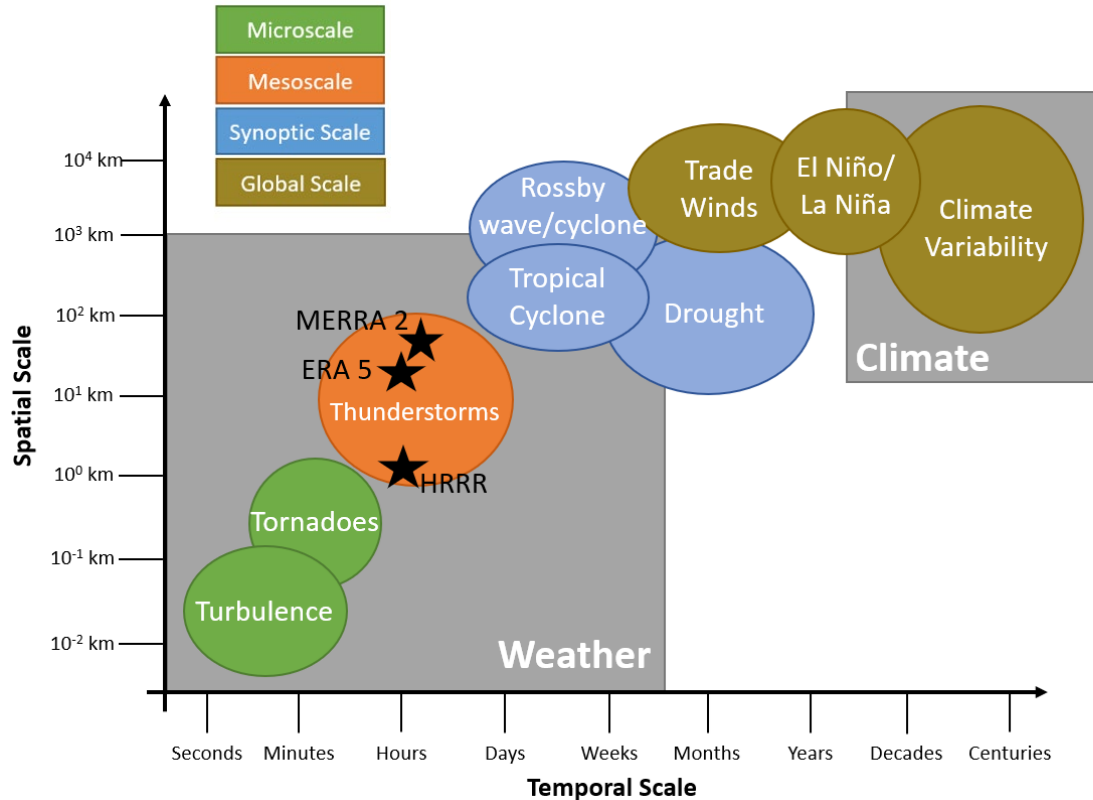
$T \in \mathbb{R}^+$

Zonal wind at 500 hPa



**Sujit Roy\***, Rajat Shinde, Wei Ji Leong, Kumar Ankur, Christopher E. Phillips, Vishal Gaur, Tsengdar J Lee, Rahul Ramachandran, Manil Maskey, Udaysankar Nair  
\*[sujit.roy@nasa.gov](mailto:sujit.roy@nasa.gov)

# Scales of Atmospheric Processes



## Challenges for Forecasting

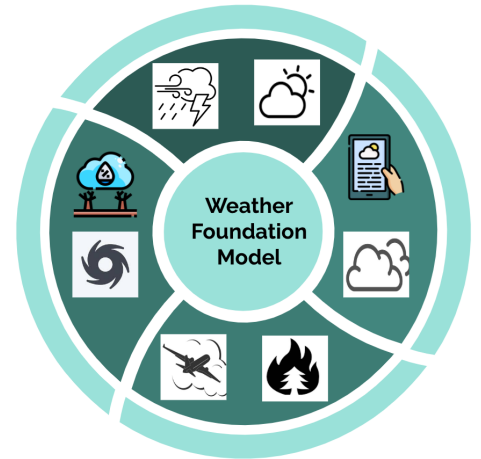
- Atmospheric phenomena occur at many scales
- Training datasets limited in scope
- Computational power limits grid resolution and thus resolvable processes

# Motivation

- Weather impacts nearly every facet of daily life
  - Hence, accurate weather prediction is imperative. But it's a challenging problem.
- As a result, agencies such as NASA, NOAA, ESA, and ECMWF gather terabytes of observations everyday
- Data volume >>> insights

# Objective

Design a foundation model for atmospheric prediction and analysis



# Dataset



**MERRA2: 11.2TB+ | ERA5: 145 TB+ | HRRR: 6 TB+**



## MERRA2

Global | 1980 - 2023  
Spatial Res: 0.5°x0.625°  
Temporal Res.: 3 hour

## ERA5

Global | 1940 - 2023  
Spatial Res.: 0.25°x0.25°  
Temporal Res.: 1 hour

## HRRR

CONUS | 2017 - 2023  
Spatial Res.: 3x3 km  
Temporal Res.: 1 hour

### Pressure Level Variables

ETA levels: 985 925 850 700  
600 525 412 245 150 109 48  
hPa

U - Wind speed/direction  
V - Wind speed/direction  
OMEGA - Vertical motions  
T - Air temperature  
QV - Specific humidity  
PL - Actual mid-level  
pressure  
H - Mid-layer height  
CLOUD - Cloud fraction  
QI - Cloud Ice mass  
fraction QL - Cloud water  
mass fraction

### Surface Variables

U10 - 10 m u wind  
V10 - 10 m v wind  
T2M - 2 m air temperature  
QV2M - 2m specific  
humidity  
PS - Surface pressure  
SLP - Sea Level pressure  
TS - Skin temperature  
TQI - Column-total ice  
TQL - Column-total liquid  
TQV - Column-total water  
vapor  
PHIS - Surface  
geopotential height  
FRLAND - Fraction of land

### Other Surface Variables

GWETROOT - Soil Moisture  
LAI - Leaf area index  
EFLUX - Latent heat flux  
HFLUX - sensible heat flux  
PRECTOTCORR - precip  
ZOM - Surface roughness  
LWGEM - LW radiation  
LWGAB - LW radiation  
absorbed  
LWTUP - upward LW at  
TOA  
SWGNT - net downward  
SW SWTNT - net SW at  
TOA

Overview

**Dataset**

Models

Analysis

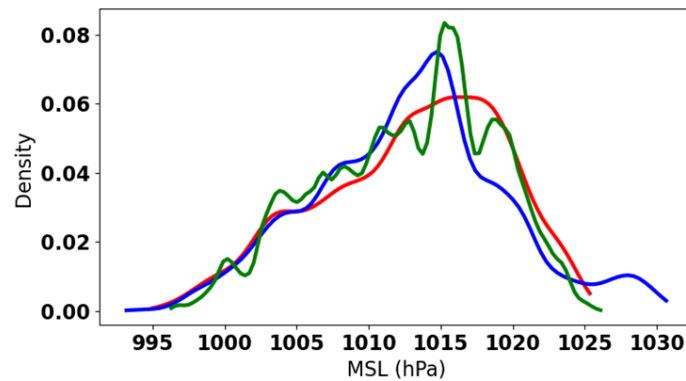
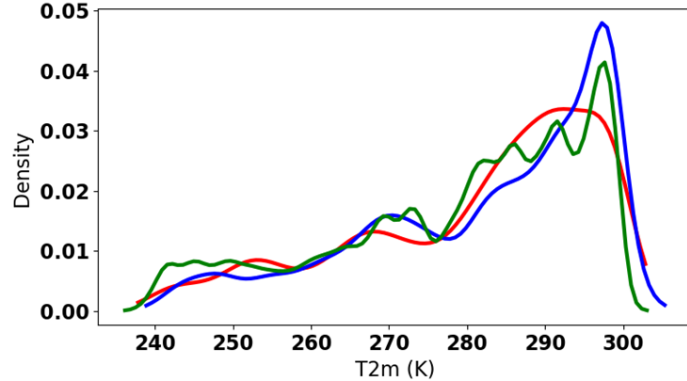
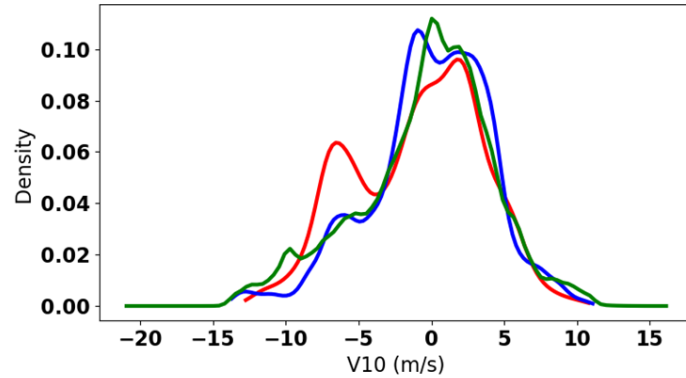
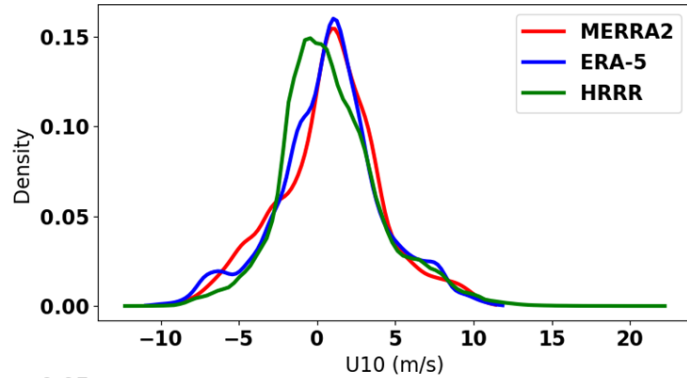
Use-case

Outreach

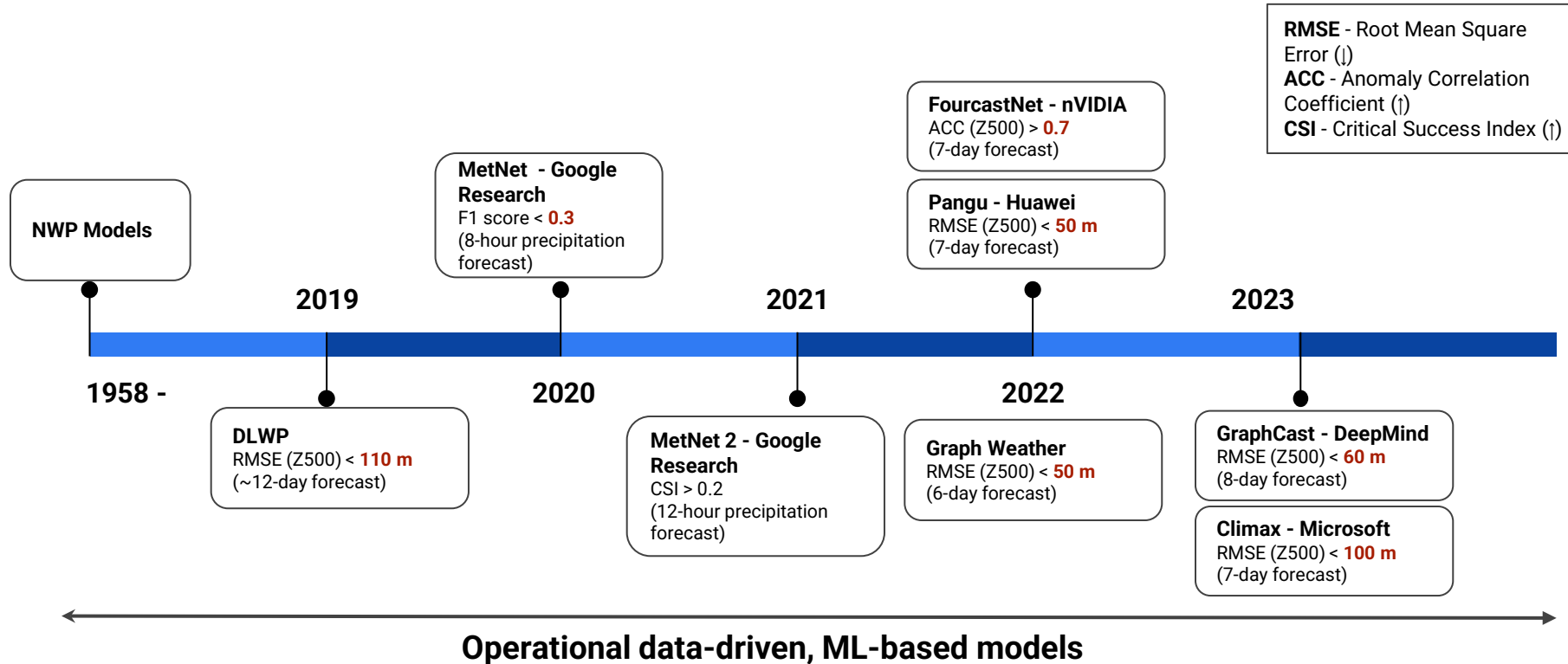
Reference

# Probability Distribution Function

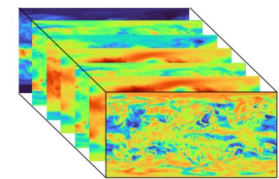
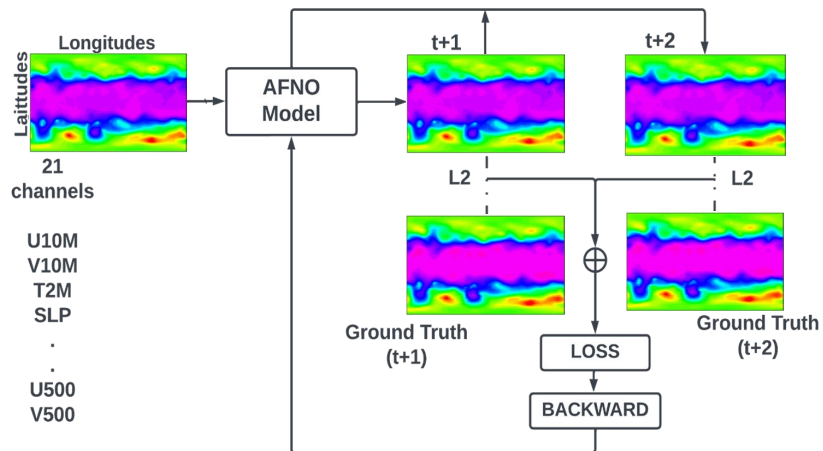
January 01, 2022 | 00 UTC



# Modeling - Brief History of Time



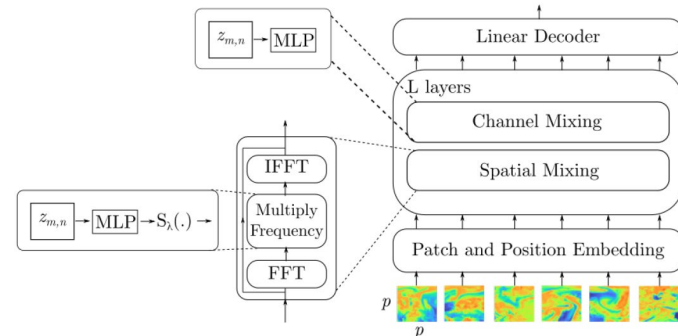
# FourCastNet (Pathak, J. et. al., 2022)



## Modified Loss

$$\sigma_{t:t+100, \text{lat}, \text{lon}, \text{lev}}^2 = \frac{\sum_{T \in t}^{t+100} P_T(\text{lon}, \text{lat}, \text{lev}) - \overline{P(\text{lon}, \text{lat}, \text{lev}, t : t + 100)}}{(n_{\text{lon}} \times n_{\text{lat}} \times n_{\text{lev}} \times 100) - 1}$$

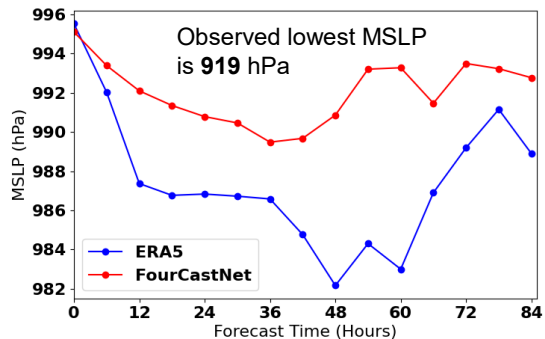
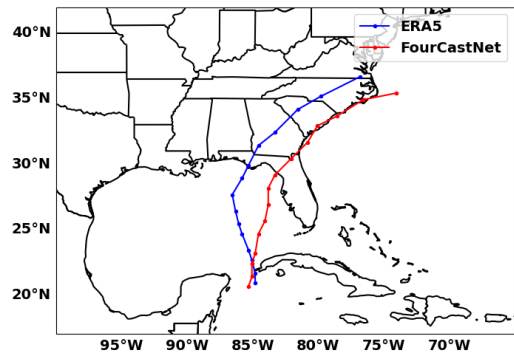
$$\text{Loss} = \cos \left( \sum_{i \in 1}^6 \text{MSE} \left( P_{Ti} - \frac{P_{Di}}{\sigma_{t:t+100, \text{lat}, \text{lon}, \text{lev}}} \right) \right)$$



## Training Architecture

Design based on AFNO model design [1, 2]

## ERA5 trained model



## MERRA2 trained model

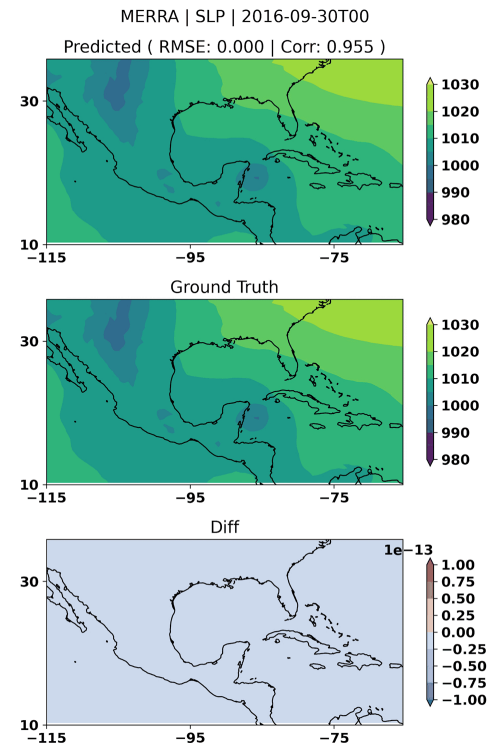
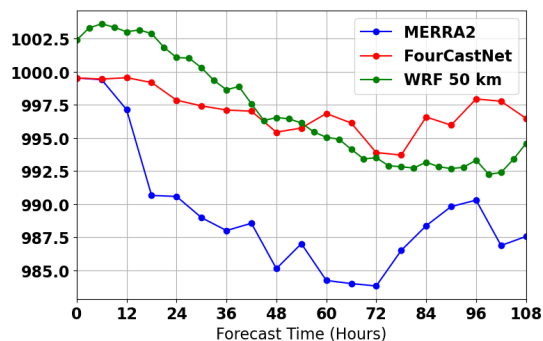
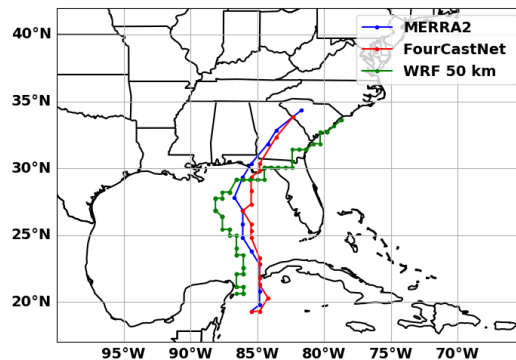


Fig. Track (location of minimum sea level pressure) and intensity (minimum sea level pressure) for Hurricane Michael (2018) from the ERA5 trained model and MERRA2 trained model. Track and intensity are overlapped from the WRF model track, simulated on 50 km spatial resolution.

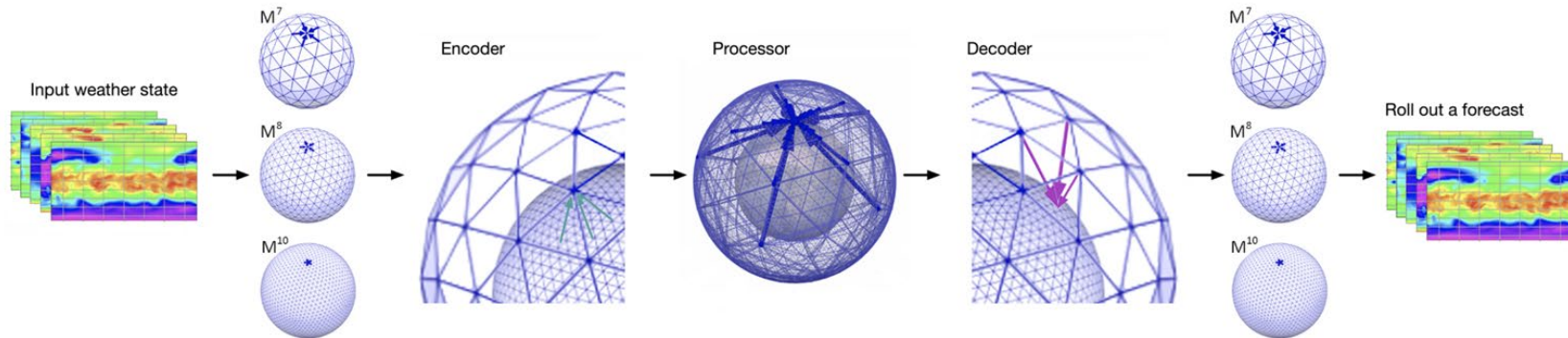
Spatial distribution of wind speed (m/s) for Hurricane Michael (2018)



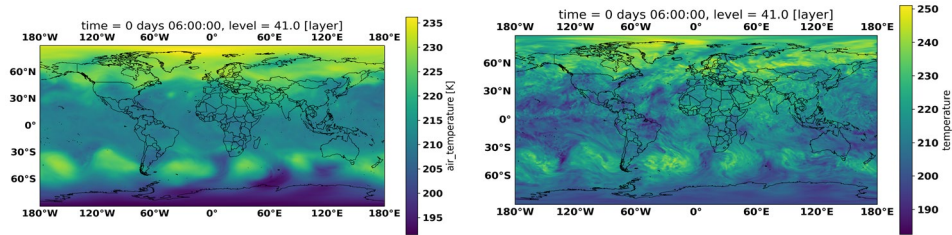
# GraphCast (Lam, R. et. al., 2022)

## Message Passing Graph Neural Network

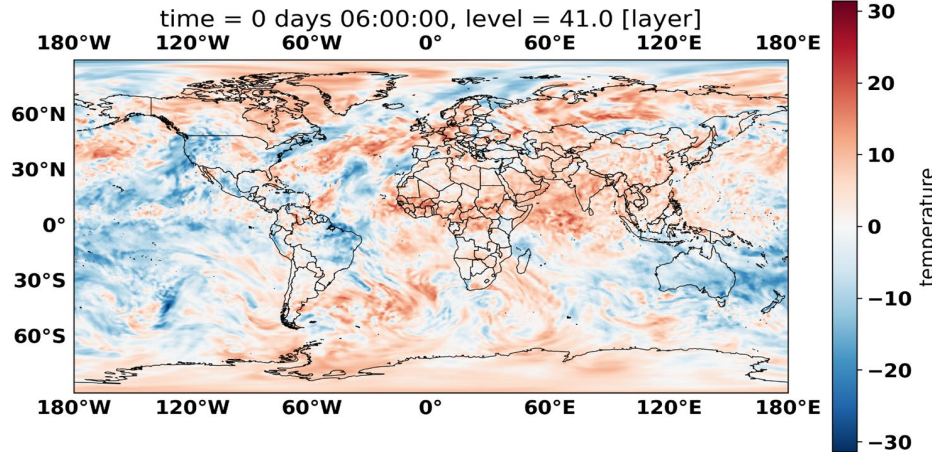
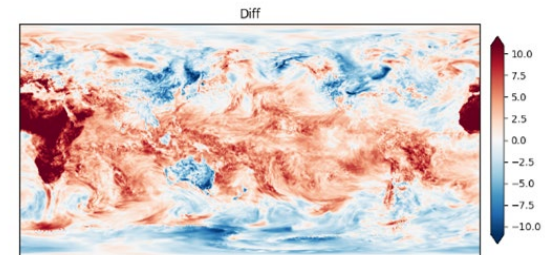
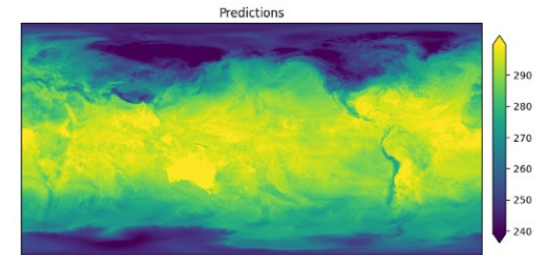
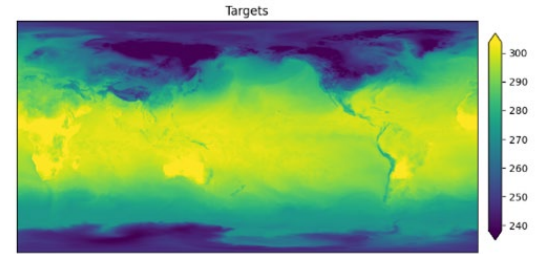
- Multiple mesh resolution



# Model predictions of temperature for 20230731Z06 at 150 hPa (~8 km) using MERRA2

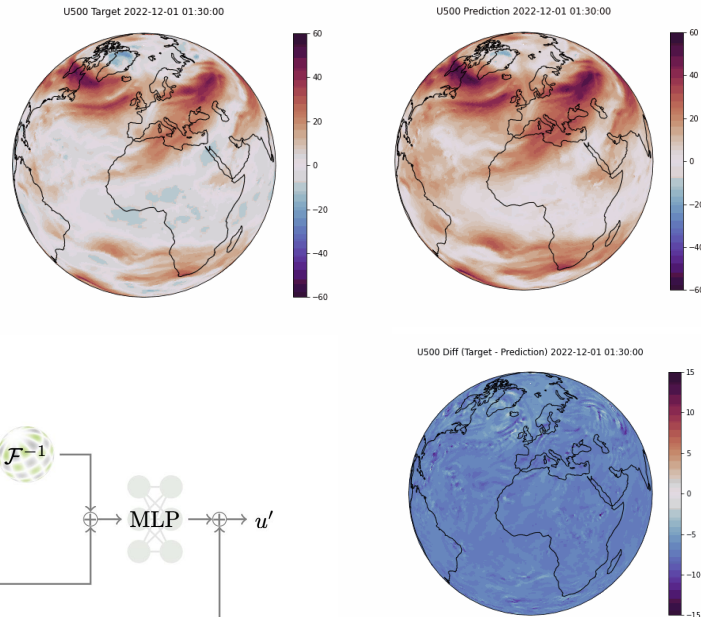
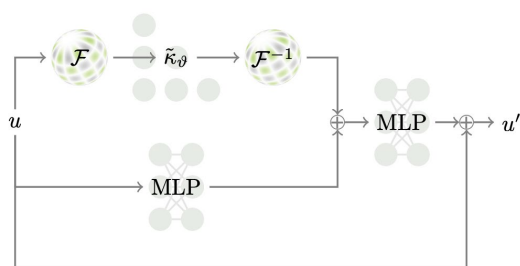
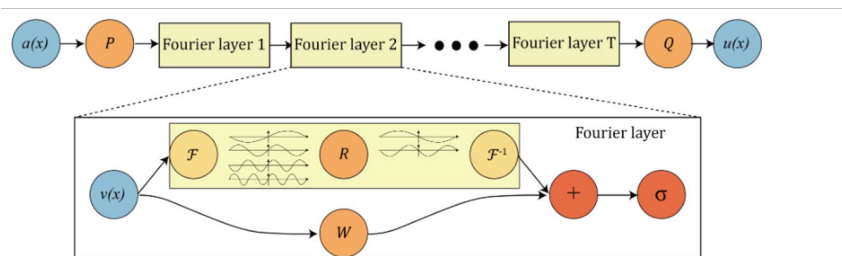


# Model predictions of 2m\_temperature using ERA5



# Spherical Fourier Neural Operator (Bonev, B. et. al., 2023)

**Dataset:** Trained on MERRA2 dataset taking U500, V500, and Z500 to see if model is able to learn dynamics and solve PDE like shallow water equation. Trained over 7 months, predicted over 1 month.



## Key Takeaways:

1. Model is able to learn the dynamics related to spherical geometry.
2. Can be used to train the model to make it resolution invariant by mapping  $u(x) \rightarrow v(x)$
3. Implementation with transformer can help in containing the manifold related information.

# Clifford Fourier Neural Operator

**Dataset:** Trained on MERRA2 dataset taking U500, V500, and Z500 to see if the model can learn dynamics and solve PDEs like shallow water equation. Trained over 7 months, predicted over 1 month.

## Implementation:

1. Defining 2 basis vector  $e_1$ ,  $e_2$  and 1 multi-vector  $e_1e_2$
2. Take input multivector and create a dual function given by:

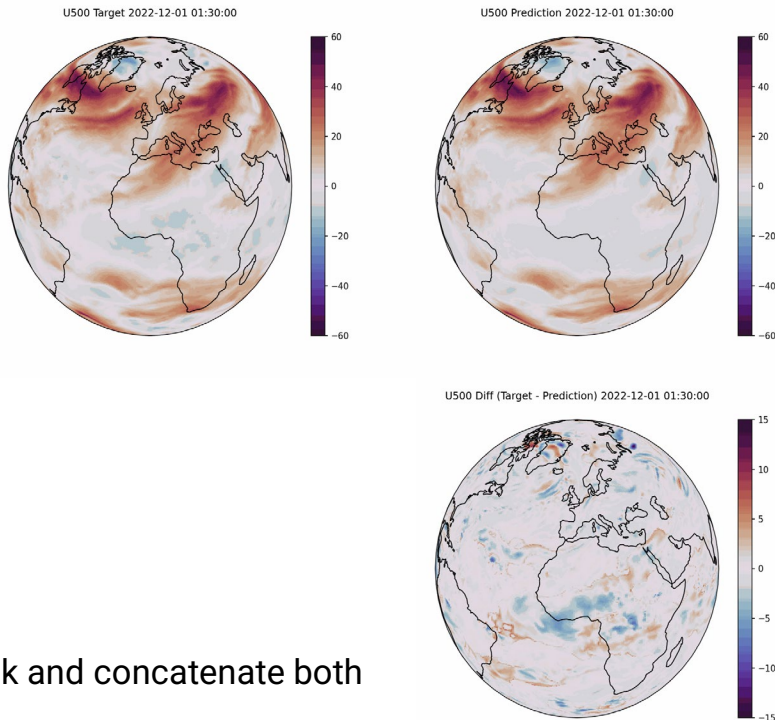
$$a = a_0 + a_1e_1 + a_2e_2 + a_{12}e_1e_2$$
$$(a_0 + a_{12}i_2) + e_1 (a_1 + a_2i_2)$$

**Spinor**                      **Vector**

3. Perform Clifford Fourier transform on each part and revert back and concatenate both

## Key Takeaways:

1. Model is able to learn the dynamics related to geometry.
2. Can be used to train the model to make it resolution invariant by mapping  $u(x) : \rightarrow v(x)$
3. Model computation may grow as we will need to perform FFT on vector and spinor part.
4. Implementation with transformer can help in efficiently mixing tokens.



# What and Why

## FourCastNet

- Optimised → less computation
- Can not handle multiple resolution of different models
- Super-resolution (same physics)
- Long term forecast will lead to noise over poles

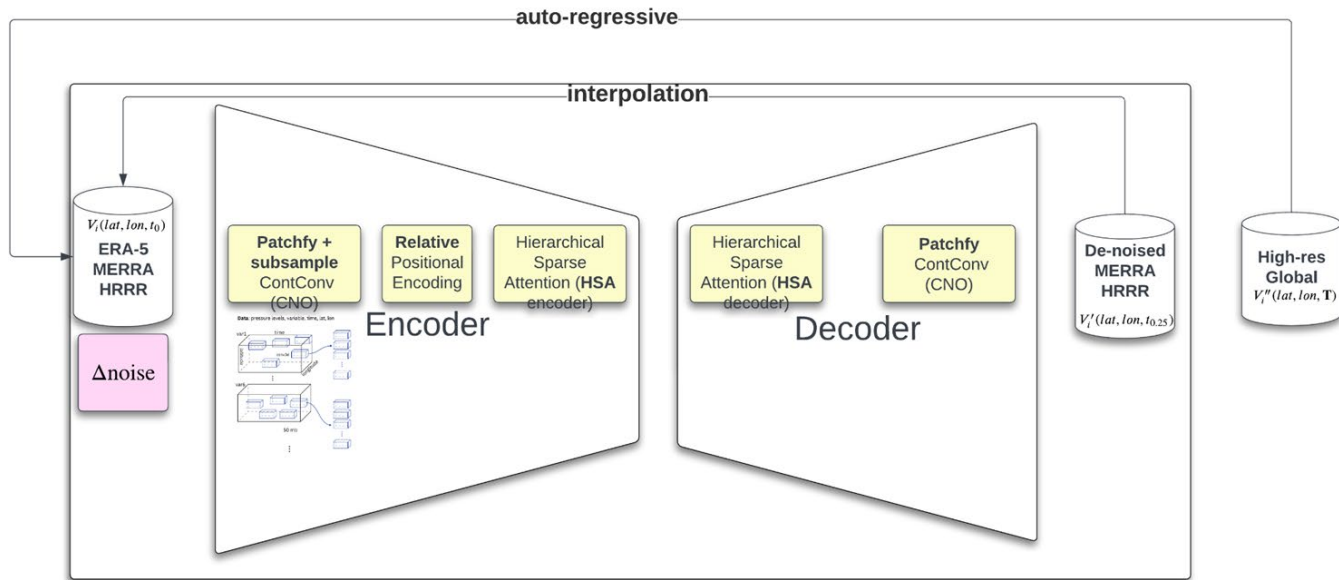
## Swin Transformer-Based Spatio-Temporal Mapping

- Higher complexity
- Learning physics uncertain
- Good for observing small scale spatial changes

## GraphCast

- Lower complexity
- Message passing network shows PDEs solution over meshes
- Inferences would be easy → needs GPU to fit graph
- Can handle multiple resolution
- Early stage concepts for multiple downstream applications

# Operator Diffusion Enhanced Neural Network Transformer ODE-NET





# Aviation Turbulence Prediction

Objective	Spatial Scale	Temporal Scale
Predict areas where an aircraft could potentially encounter turbulence	Local	Hour-Minute

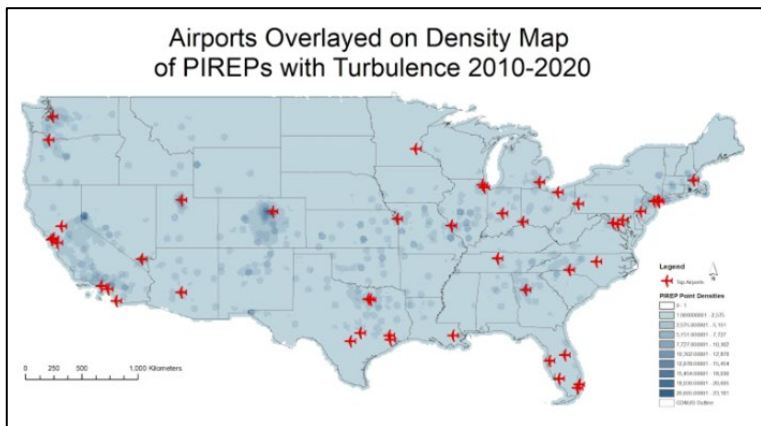


Fig: Illustration of PiREPs overlaid over the airport locations across the US

```
GSP UA /OV GSP/TM 1435/FLUNKN/TP B737/SK BKN021-TOP026/RM DURD RY22 GSP
BNA UA /OV BNA360030/TM 1417/FL360/TP BCS3/TB CONS LGT OCNL MOD 360/RM ZME
```

Fig: Sample pilot report

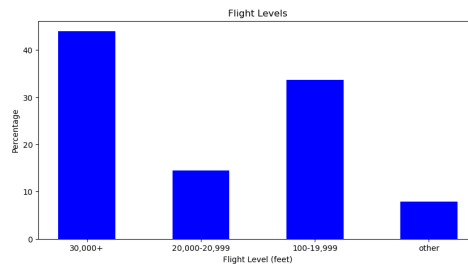


Fig: Histogram of the turbulence reports with the elevation

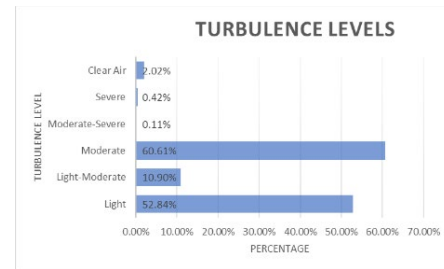


Fig: Categorization of turbulence levels based on occurrence

**Impact:** Turbulence is hazard for commercial flights  
**Challenges:** Data is unstandardised, reports are subjective

**Temporal Extent:** 2003 - Present  
**Spatial Extent:** United States

**Input:** Single MERRA 2 time step  
**Output:** Turbulence risk/likelihood  
**Source of the labels:** PIREPS database

Overview

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# Hurricane Intensity and Track Estimation

Task	Objective	Spatial Scale	Temporal Scale
Curating Hurricane observed / raw data from Hurdat / JTWC	Fine-tune FM using the observed hurricane track and intensity to improve forecast	Regional	Days-Weeks

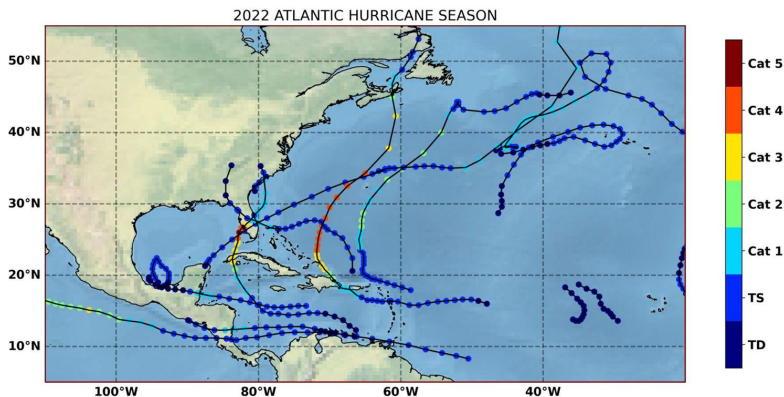


Fig: Visualization of 2022 Atlantic hurricane season

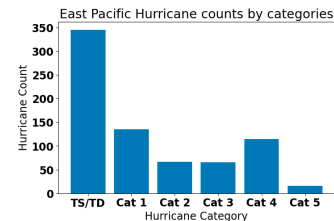
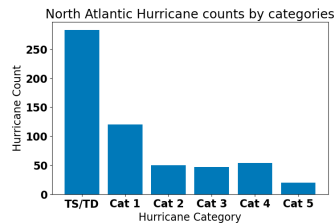


Fig: Histogram of hurricane counts with category

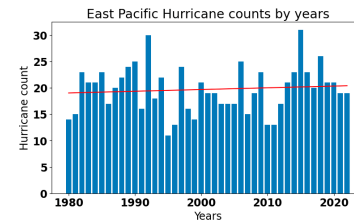
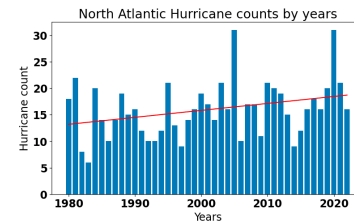


Fig: Frequency of North Atlantic and East Pacific Hurricanes since 1980

**Impact:** Enhanced hurricane forecasting  
Reduce economic and human loss

**Challenges:** Dynamic problem to solve

**Temporal Extent:** 1850 - 2022

**Spatial Extent:** Global - separate for each basins

**Input:** Time series of 3 hourly MERRA 2 variables

**Output:** Hurricane center, intensity and size

**Source of the labels:** HURDAT



# Drought Prediction

Objective	Spatial Scale	Temporal Scale
Classify droughts into the classes: 1. No drought 2. Dry 3. Moderate 4. Severe 5. Exceptional	Regional	Days-Seasonal

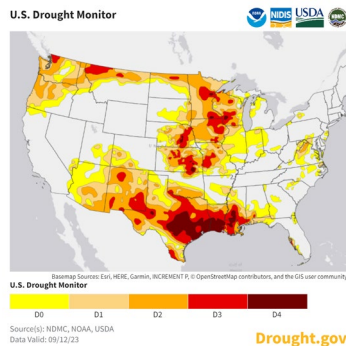


Fig: U.S. Drought Monitor drought assessment for the week of Sept. 12th, 2023

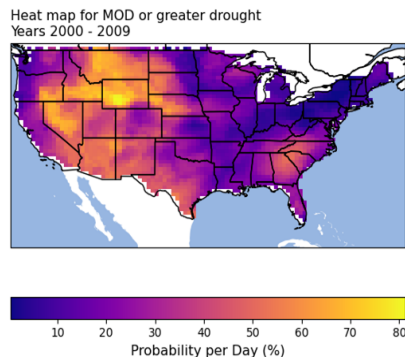


Fig: Heat map representing moderate drought over the years 2000-09

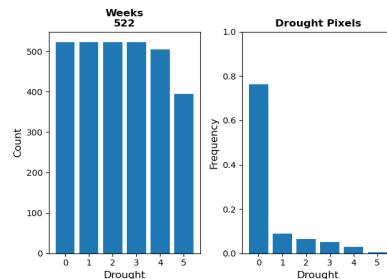


Fig: Histogram of (left) weeks with drought by category (right) Drought frequency by pixel for small for 2000-2009

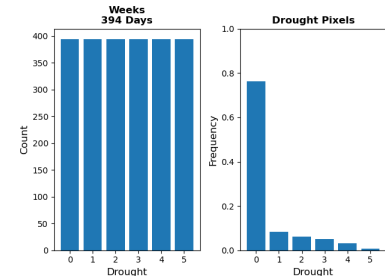


Fig: Histogram of (left) Days with at least class 5 drought (right) Drought frequency by pixel for weeks with at least class 5 drought for 2000-2009.

**Impact:** Precise resource allocation and water management  
 Mitigation of agricultural losses and economic impacts  
**Challenges:** Severe class imbalance.

**Temporal Extent:** Weekly between 2000 – present  
**Spatial Extent:** CONUS  
**Input:** Time series of MERRA 2  
**Output:** Drought monitor style drought assessment  
**Source of the labels:** U.S. Drought Monitor

# Generating Natural Language Weather Forecast Discussions

Objective	Spatial Scale	Temporal Scale
Creating weather forecast discussions based on weather modeling results	Local-Regional	Hours-Days

SPC AC 010538 Day 1 Convective Outlook NWS Storm Prediction Center Norman OK 1138 PM CST Sat Dec 31 2022 Valid 011200Z - 021200Z ...NO SEVERE THUNDERSTORM AREAS FORECAST... SUMMARY... Severe thunderstorms are not expected on Sunday...Southwestern US... Southern CA upper trough is forecast to advance into the lower CO River Valley by early afternoon before shifting into eastern AZ by 02/00z. This progression is associated with an 80+kt 500mb speed max that should translate southeast along the AZ/Sonora border during the latter half of the period. Cold mid-level temperatures (-20 to -24C at 500mb) will spread across much of the southwestern US north of the jet, resulting in steepening lapse rates and adequate buoyancy for deep convection. Latest model guidance suggests greatest PW values will remain south of the international border, ...  
CLICK TO GET WUUS01 PTSYD1 PRODUCT

Fig: Sample weather report for 01/01/2023

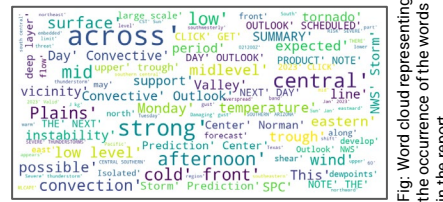


Fig: Word cloud representing the occurrence of the words in the report

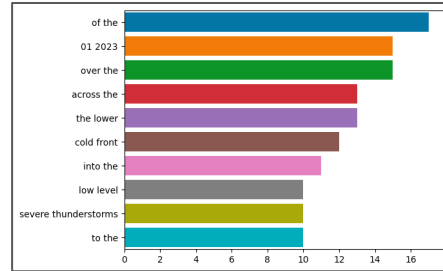


Fig: Top 10 2-grams of the words occurring in the report

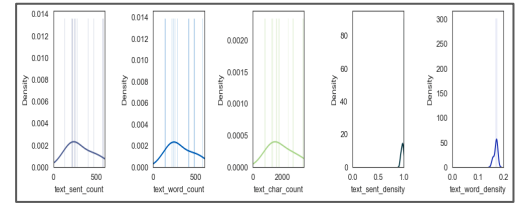


Fig: Histogram of the feature distribution of the sample weather report

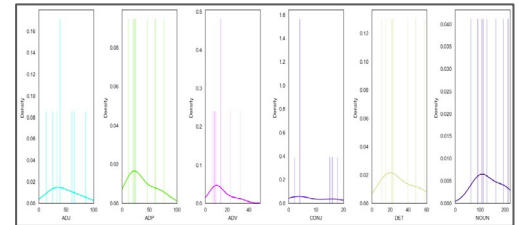


Fig: Illustration of POS tagging performed on the weather discussion

**Temporal Extent:** Daily between 2003 - Present  
**Spatial Extent:** CONUS  
**Input:** Time series of 3 hourly MERRA 2 dataset  
**Output:** Weather forecast discussion  
**Source of the labels:** SPC forecast discussions

# Weather Analogs (Similarity Search)

Task	Objective	Spatial Scale	Temporal Scale
Weather Analogs	Given an atmospheric state, provide a ranked list of similar states from the past for forecast or research purposes	Regional	Decadal

- Analog forecasting predicts future weather by looking for similar conditions in the past
- Requires rapid search of huge databases (potentially Petabytes)
- Useful for severe weather outbreaks, hurricanes, extreme temperature events
- Can help scientists rapidly locate significant events from the past based on current event

**Impact:** Enhanced weather forecasting accuracy  
Efficient historical data utilization for research and planning

**Challenges:** Rapidly searching large database, designing appropriate distance metric

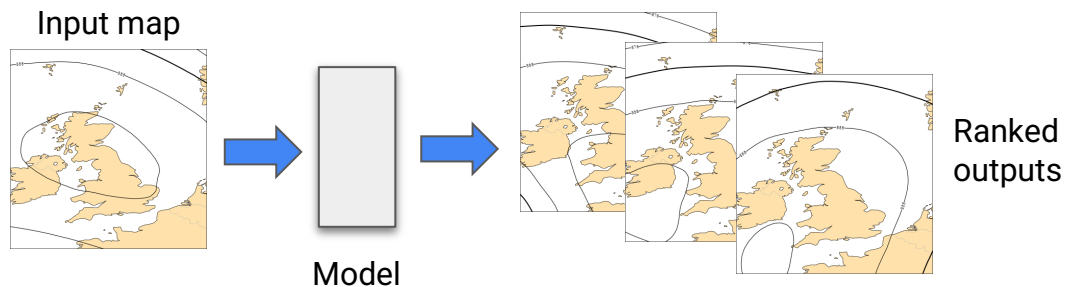
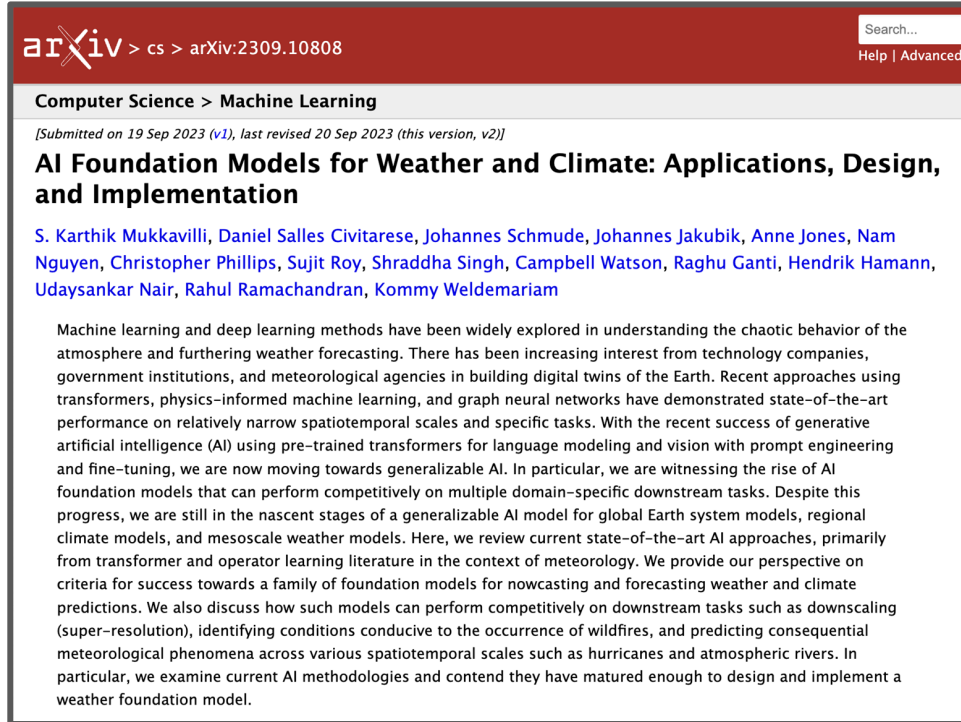


Fig: Pipeline for performing weather analog search. Example images are geopotential height over the U.K. Images located using the Copernicus weather analog search (<https://cds.climate.copernicus.eu/analogues/>).

**Temporal Extent:** 3 hourly between 1980 - 2020  
**Spatial Extent:** Global  
**Input:** Single MERRA 2 timestep  
**Output:** Ranked list of similar scenarios in MERRA 2  
**Source of the labels:** In-house distance metric

# Outreach



arXiv > cs > arXiv:2309.10808

Search...  
Help | Advanced

Computer Science > Machine Learning

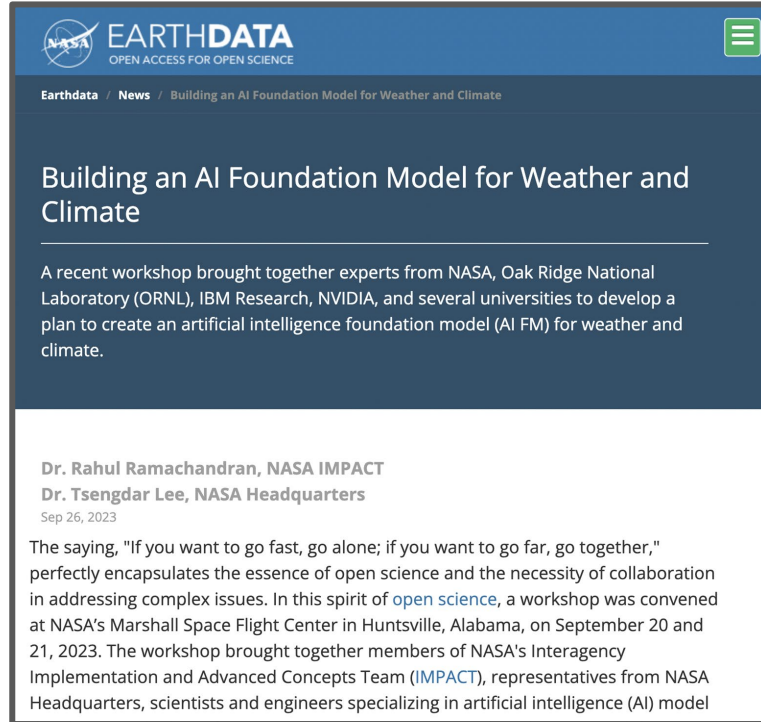
[Submitted on 19 Sep 2023 (v1), last revised 20 Sep 2023 (this version, v2)]

## AI Foundation Models for Weather and Climate: Applications, Design, and Implementation

S. Karthik Mukkavilli, Daniel Salles Civitarese, Johannes Schmude, Johannes Jakubik, Anne Jones, Nam Nguyen, Christopher Phillips, Sujit Roy, Shraddha Singh, Campbell Watson, Raghu Ganti, Hendrik Hamann, Udaysankar Nair, Rahul Ramachandran, Kommy Weldemariam

Machine learning and deep learning methods have been widely explored in understanding the chaotic behavior of the atmosphere and furthering weather forecasting. There has been increasing interest from technology companies, government institutions, and meteorological agencies in building digital twins of the Earth. Recent approaches using transformers, physics-informed machine learning, and graph neural networks have demonstrated state-of-the-art performance on relatively narrow spatiotemporal scales and specific tasks. With the recent success of generative artificial intelligence (AI) using pre-trained transformers for language modeling and vision with prompt engineering and fine-tuning, we are now moving towards generalizable AI. In particular, we are witnessing the rise of AI foundation models that can perform competitively on multiple domain-specific downstream tasks. Despite this progress, we are still in the nascent stages of a generalizable AI model for global Earth system models, regional climate models, and mesoscale weather models. Here, we review current state-of-the-art AI approaches, primarily from transformer and operator learning literature in the context of meteorology. We provide our perspective on criteria for success towards a family of foundation models for nowcasting and forecasting weather and climate predictions. We also discuss how such models can perform competitively on downstream tasks such as downscaling (super-resolution), identifying conditions conducive to the occurrence of wildfires, and predicting consequential meteorological phenomena across various spatiotemporal scales such as hurricanes and atmospheric rivers. In particular, we examine current AI methodologies and contend they have matured enough to design and implement a weather foundation model.

<https://arxiv.org/pdf/2309.10808.pdf>



EARTHDATA  
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Earthdata / News / Building an AI Foundation Model for Weather and Climate

## Building an AI Foundation Model for Weather and Climate

A recent workshop brought together experts from NASA, Oak Ridge National Laboratory (ORNL), IBM Research, NVIDIA, and several universities to develop a plan to create an artificial intelligence foundation model (AI FM) for weather and climate.

**Dr. Rahul Ramachandran, NASA IMPACT**  
**Dr. Tsengdar Lee, NASA Headquarters**  
Sep 26, 2023

The saying, "If you want to go fast, go alone; if you want to go far, go together," perfectly encapsulates the essence of open science and the necessity of collaboration in addressing complex issues. In this spirit of [open science](#), a workshop was convened at NASA's Marshall Space Flight Center in Huntsville, Alabama, on September 20 and 21, 2023. The workshop brought together members of NASA's Interagency Implementation and Advanced Concepts Team (IMPACT), representatives from NASA Headquarters, scientists and engineers specializing in artificial intelligence (AI) model

<https://www.earthdata.nasa.gov/news/weather-ai-fm-workshop>

Overview

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Outreach

Reference

# References

1. Pathak, J., Subramanian, S., Harrington, P., Raja, S., Chattopadhyay, A., Mardani, M., Kurth, T., Hall, D., Li, Z., Azizzadenesheli, K. and Hassanzadeh, P., 2022. Fourcastnet: A global data-driven high-resolution weather model using adaptive fourier neural operators. arXiv preprint arXiv:2202.11214.
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# Acknowledgements



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