

# 5TH WORKSHOP ON WAVES AND WAVE-COUPLED PROCESSES

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## Do swells contribute to surface mixing?

Results from machine learning models for SST diurnal warming and cool skin



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- The knowledge of upper ocean mixing processes is of fundamental importance for ocean circulation models.
- Wave breaking and wave-induced Langmuir circulation processes enhance turbulence and lead to mixing.
- Recently, more attentions have been paid to non-breaking wave induced ocean mixing.
- Non-breaking wave induced ocean mixing has related theoretical basis (e.g., Babanin 2006: Wave Reynolds Number).
- Considering wave-induced mixing can also improve the performance of ocean models and climate models (e.g., Qiao et al. 2004, Qiao et al. 2008, Chen et al. 2018).

$$Re = \frac{aV}{\nu} = \frac{a^2\omega}{\nu}$$

$\omega$ : radian frequency

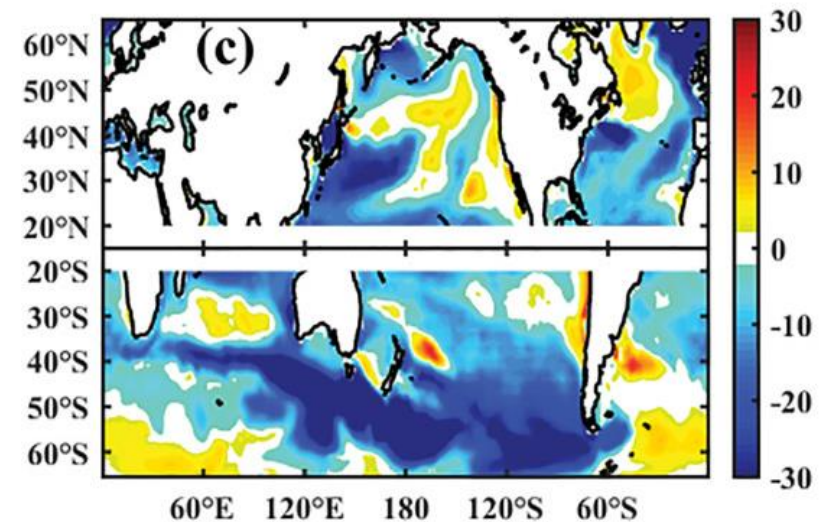
$a$ : wave amplitude

$V = wa$ : orbital velocity

$\nu$ : kinematic viscosity of the water

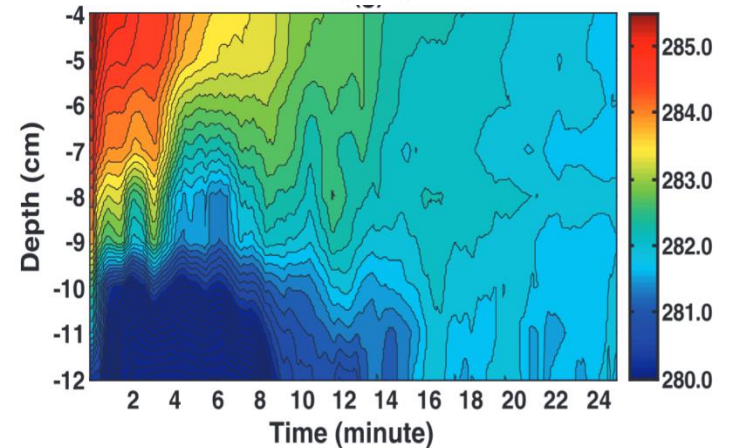
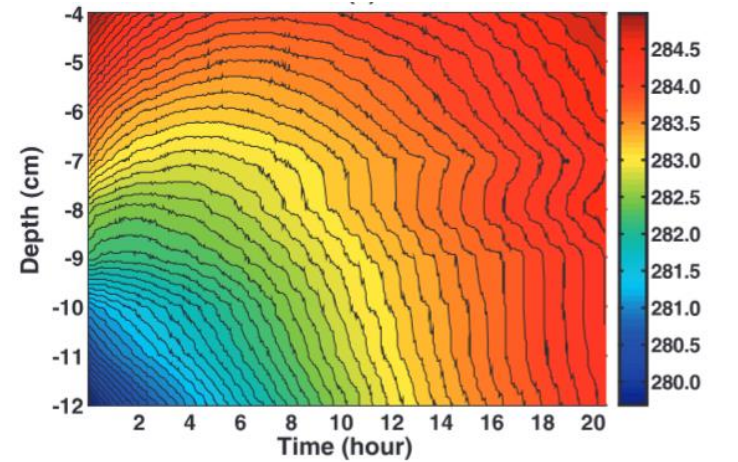
$$Re_{cr} \approx 3000$$

Critical  $Re$  for the onset of turbulence



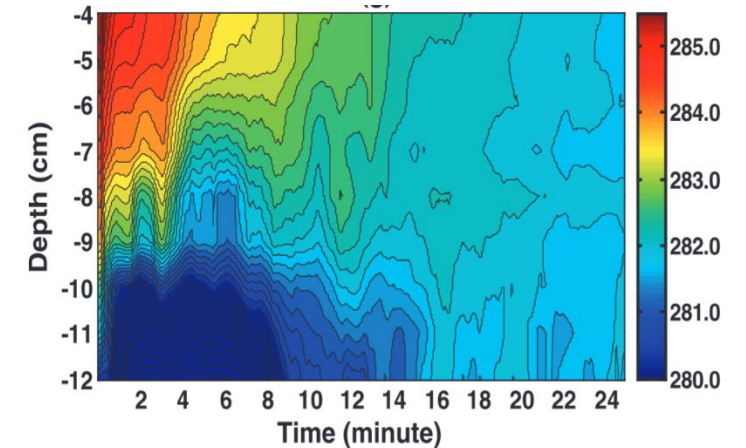
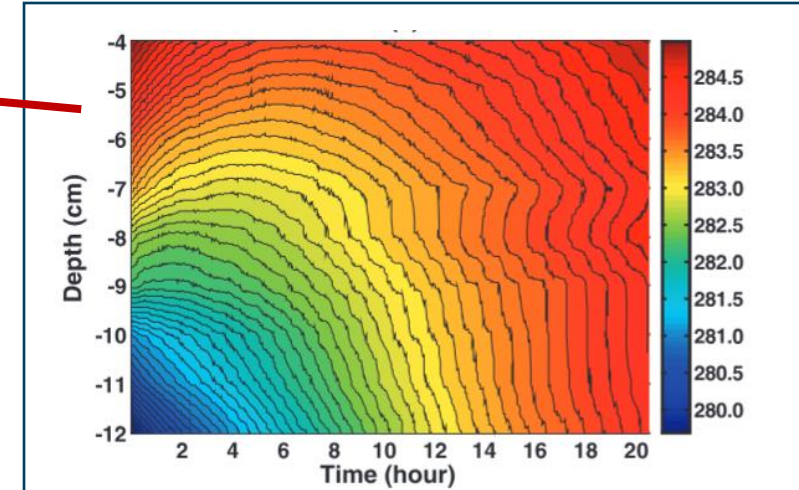
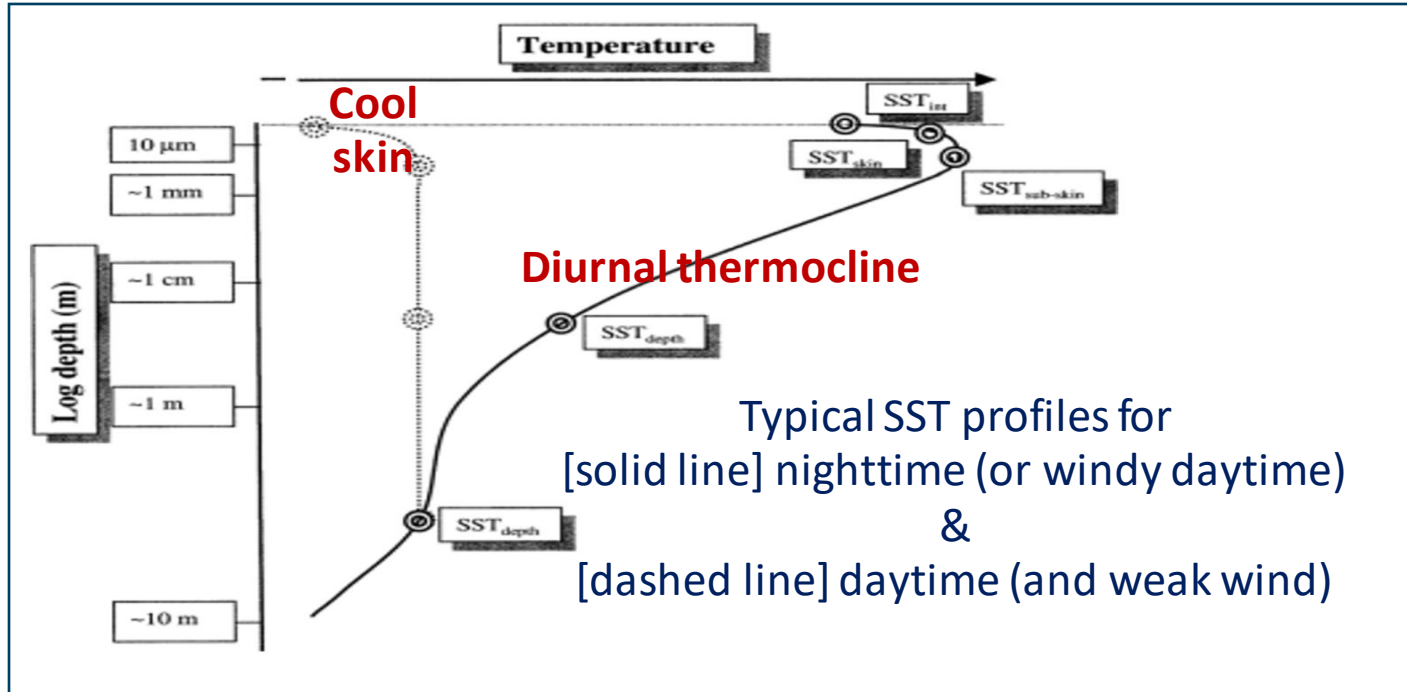
Mean winter MLD difference between the models with and without Bv (Chen et al. 2018)

- However, it seems not much observational evidence can be found on non-breaking wave induced mixing/turbulence.
- In a water tank, sidewalls, bottom, and sometimes even the (contact) sensors, can lead to turbulence, impacting the mixing condition.
- In field experiments, it is difficult to isolate the mixing induced by different factors (including the introduction of contact sensors).



Evolution of the water temperature profile with and without nonbreaking waves ( $H=3\text{cm}/L=75\text{cm}$ ) in a water tank (Dai et al. 2010)

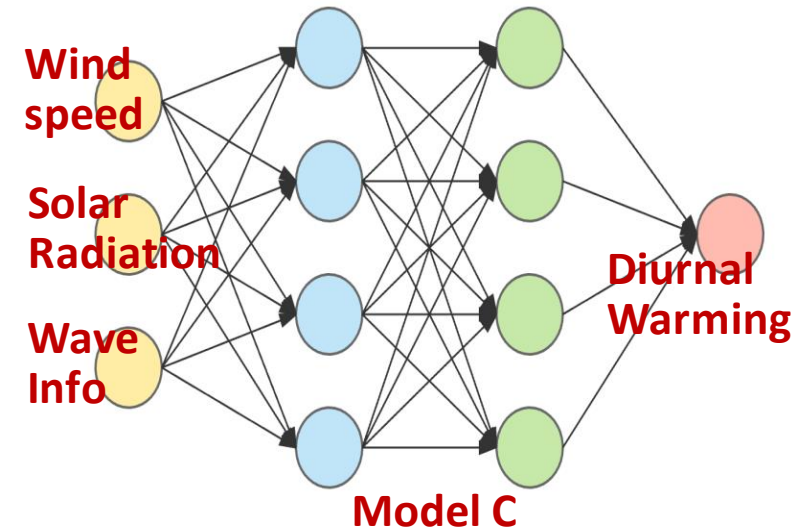
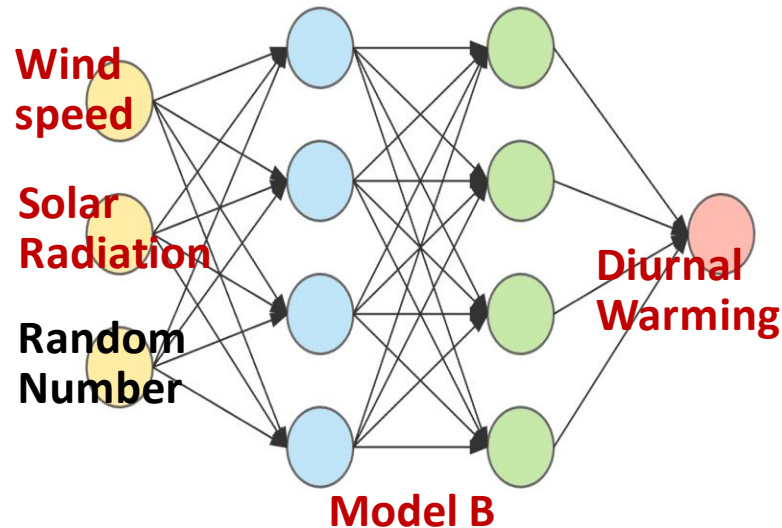
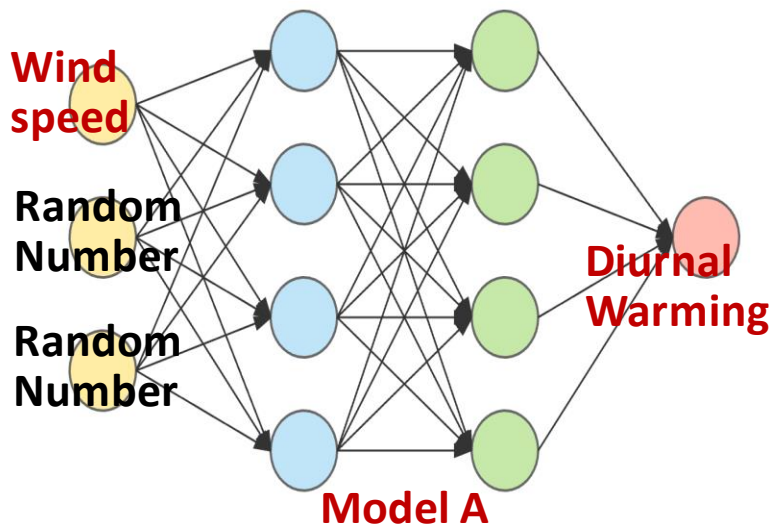
- This is somehow similar to the Sea Surface Temperature (SST) profile related to diurnal warming



Evolution of the water temperature profile with and without nonbreaking waves ( $H=3\text{cm}/L=75\text{cm}$ ) in a water tank (Dai et al. 2010)

- **Cool skin & diurnal warming are strongly impacted by surface mixing**
- **Using cool skin & diurnal warming to study whether swells/nonbreaking waves contribute to surface mixing?**

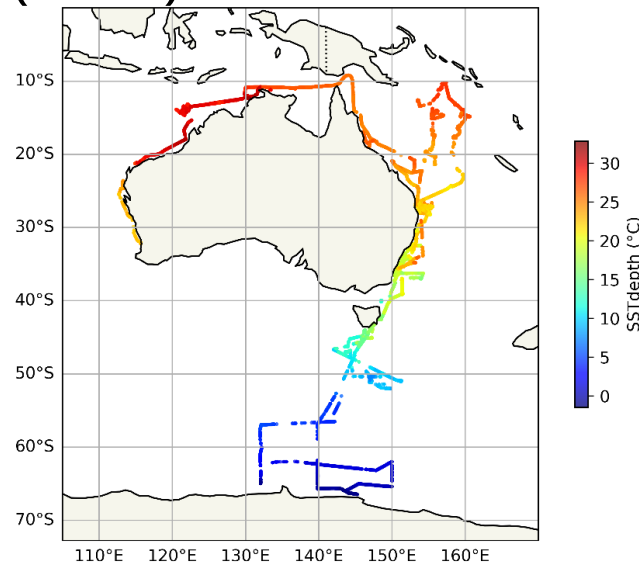
- However, cool skin & diurnal warming are impacted by many factors (e.g., solar radiation, latent/sensible heat flux, wind speed, etc...)
- How to see whether one factor has contribution?
- **Establishing a machine learning model of cool skin / diurnal warming.**
- If a SWH is important for the prediction of cool skin / diurnal warming, adding SWH to the input term of the model will improve the model performance.
- Vice versa, if adding SWH to the input term of the model cannot improve the model performance, SWH is not important for the prediction of cool skin / diurnal warming, thus, not contributes significantly to surface mixing.



- Integrated Marine Observing System (IMOS) R/V
- **Skin Temperature (SST<sub>skin</sub>)** from infrared radiometer ISAR-5D
- **SST<sub>depth</sub>** from SeaBird SBE 38 temperature sensor (~7 m depth)
- **10-m wind speed (U10) & Relative Humidity (RH) & LongWave radiation (LW)** from weather station
- Quality controlled using Zhang et al. (2018)

### $\Delta SST = SST_{skin} - SST_{depth}$

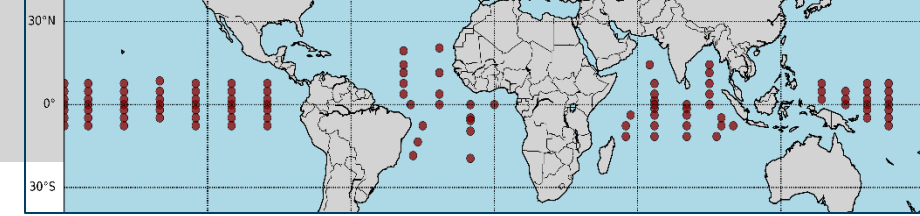
- Only nighttime data (sun zenith angle > 110°) was used to avoid the impact of diurnal warming
- ERA5 data (0.5deg)
- **Significant Wave Height (SWH)**



Ship route and corresponding SST<sub>depth</sub>



ISAR on the ship

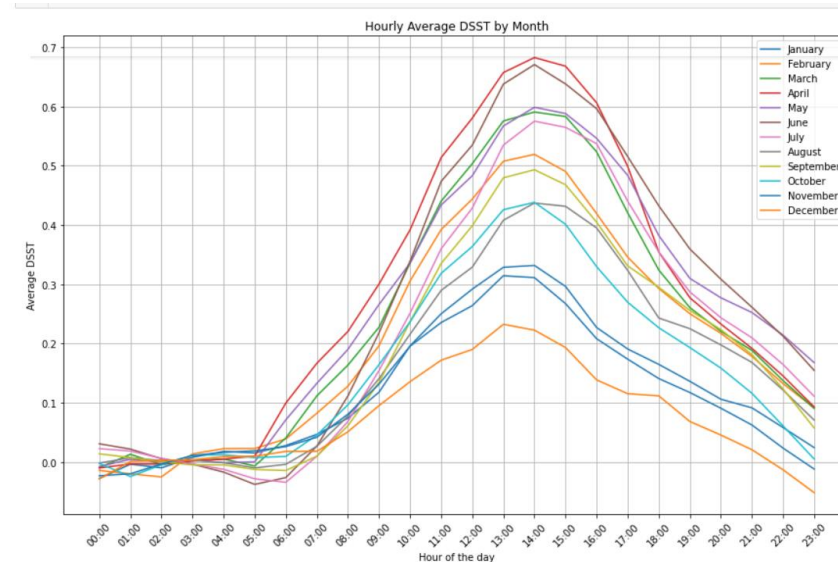


Locations of TOGA buoys

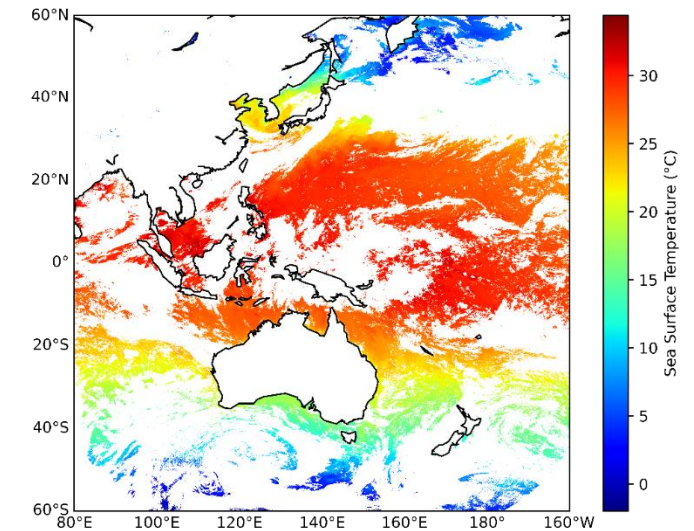
- Tropical Ocean Global Atmosphere (TOGA) buoys
  - **SST** from temperature sensors
  - **10-m wind speed (U10) & ShortWave radiation (SW)** from weather station
- Geostationary satellite Himawari-9 remote sensing data (L3 version 2.1)
  - **SST**: 1-h × 0.02° → 1-h × 0.2° (reducing random noise)

- ERA5 data (0.5deg)
  - **SWH U10 SW**

$$\text{DW} = \text{SST}(13:00 \sim 15:00) - \text{SST}(1:00 \sim 5:00)$$



Climatology of SST diurnal variation in different month from Himawari-9 data



An example of Himawari-9 SST on 15 June 2023

➤ Two types of models (tree model: XGBoost / neural network: ANN) were used to predict cool skin & diurnal warming. [No significant difference between them]

➤ 50% training / 50% validation

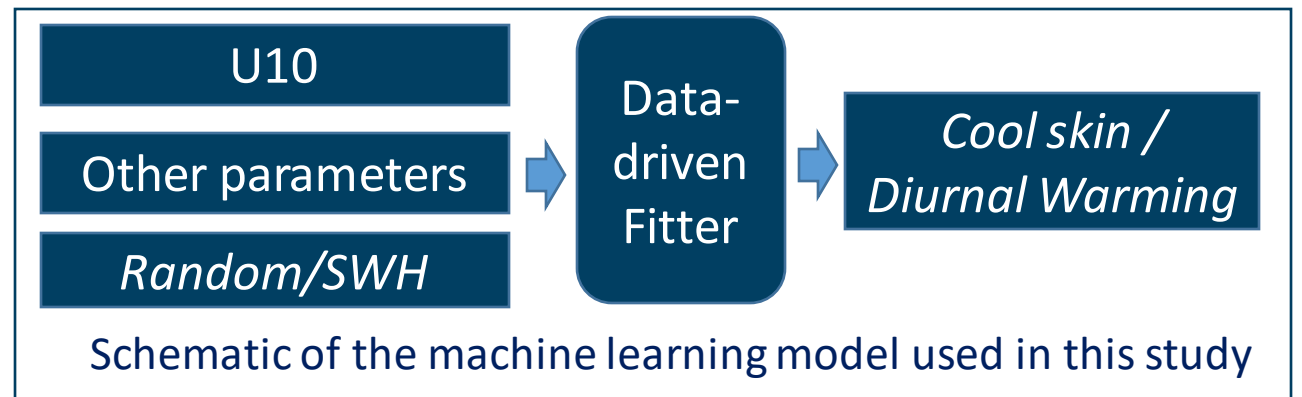
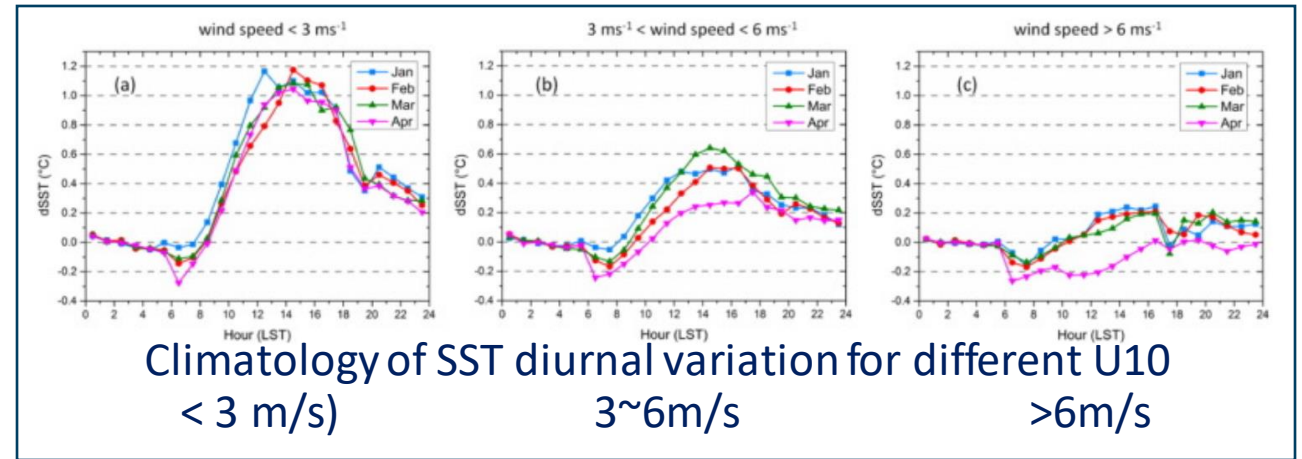
➤ Previous studies have shown that cool skin is dependent on U10, RH, & LW,

and diurnal warming is dependent on U10 & SW

➤ U10 is related to breaking waves so we further test if introduce SWH can help the prediction.

- Low wind high SWH: Strong swells
- Low wind low SWH: Weak swells

➤ **YES**→Swells contribute to mixing

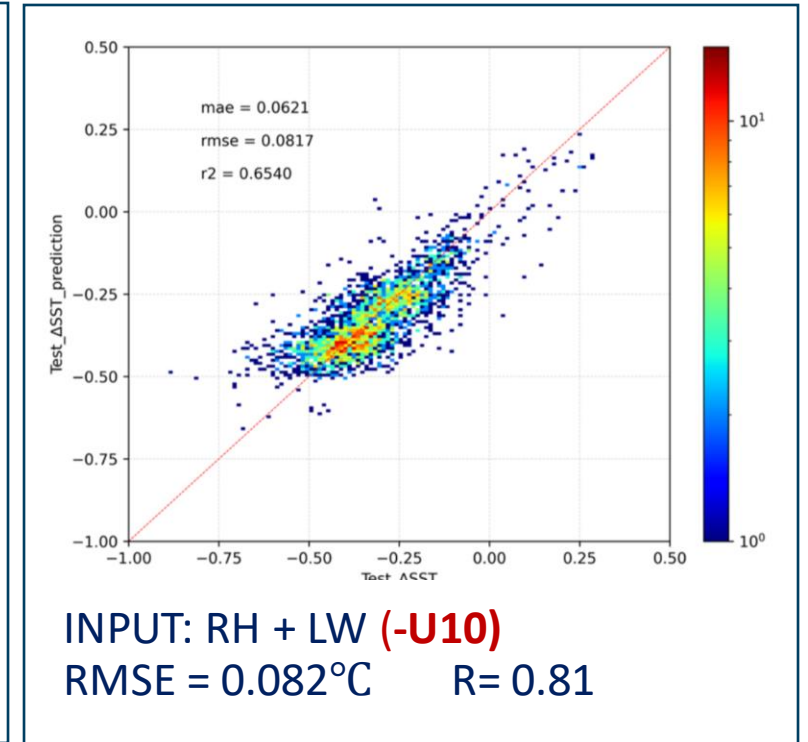
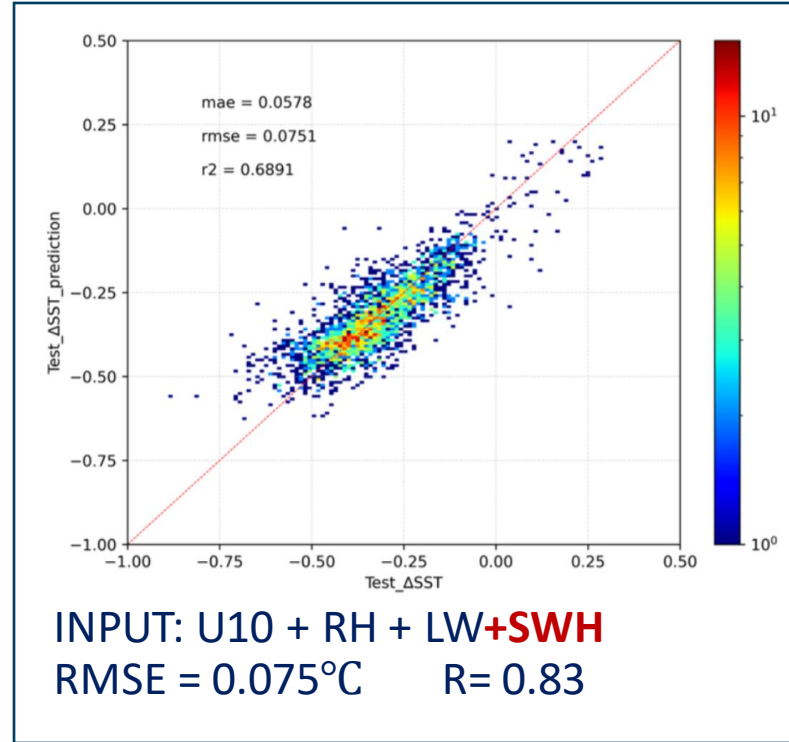
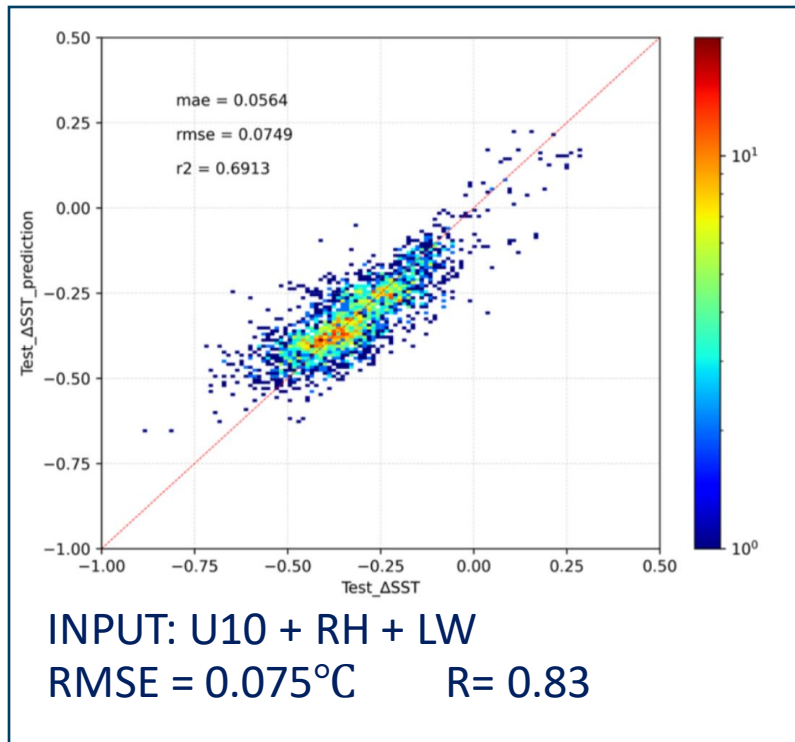


**NO**→Swells not contribute to mixing



# Results

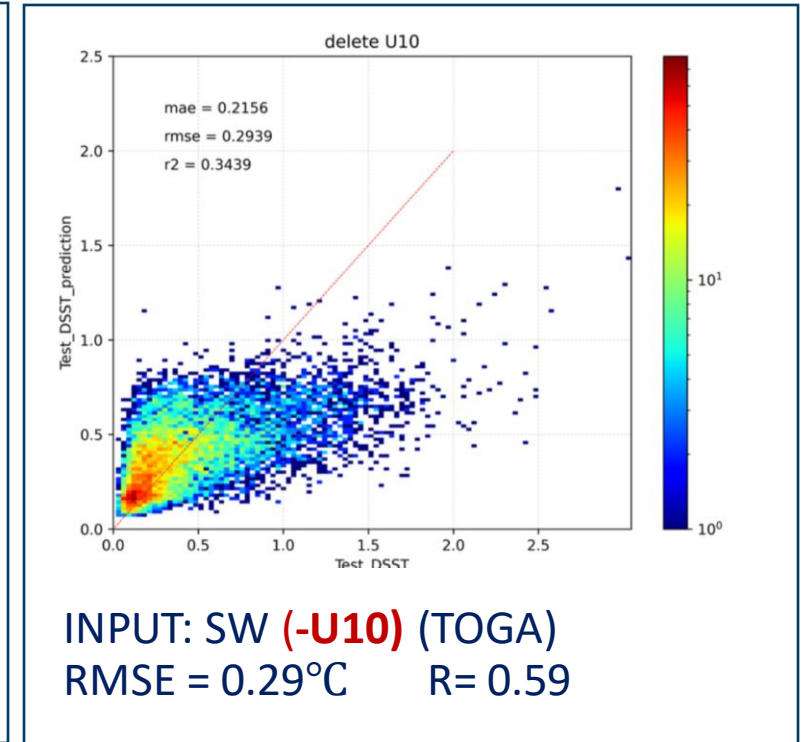
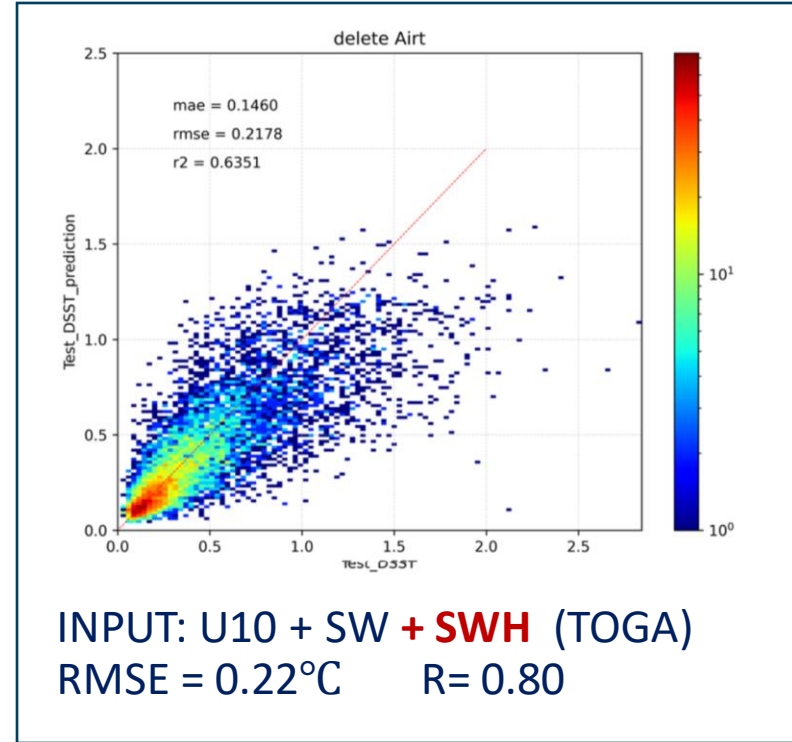
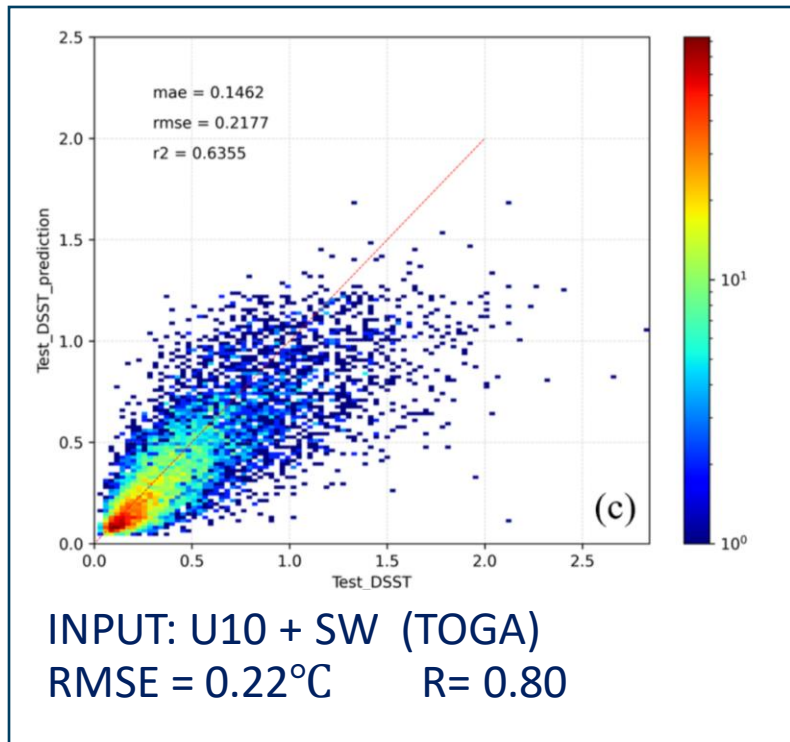
## Model Evaluation — Cool Skin



- Adding SWH seems not to be helpful for the prediction of cool skin.
- This dataset cannot support the contribution of swells in surface mixing.
- Data coverage is short, the inter-dependence between different parameters might be too strong. [Even removing U10 slightly (but significantly) impacts the prediction]
- Maybe also because the formation of cool skin is too fast? (within seconds)

# Results

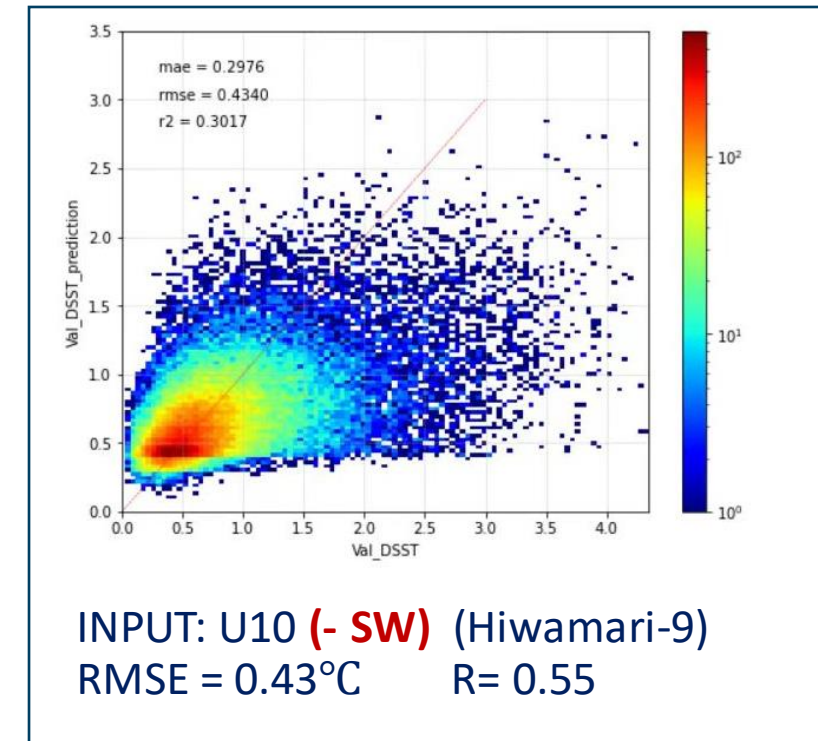
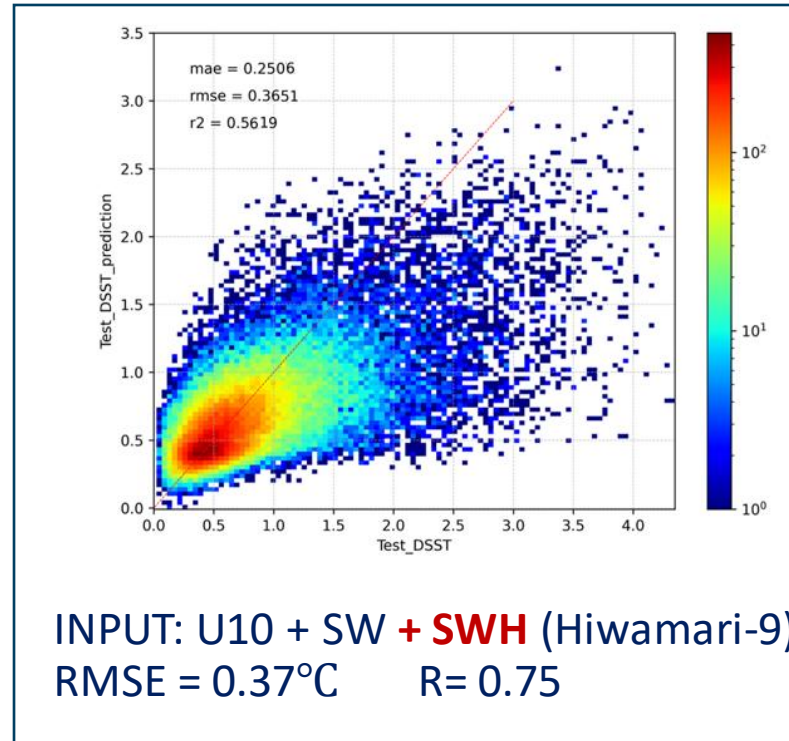
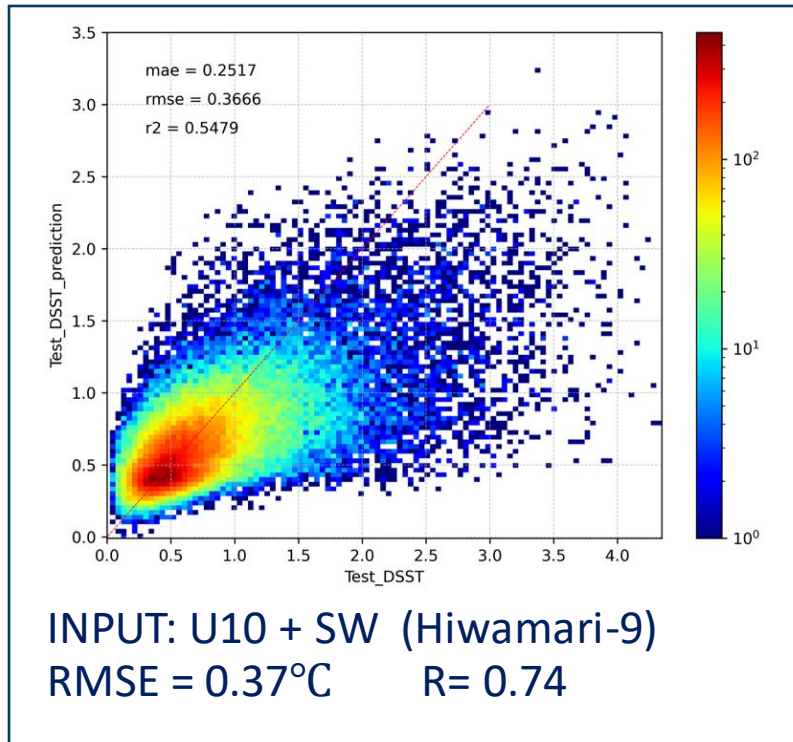
## Model Evaluation — Diurnal Warming



- Adding SWH also seems not to be helpful for the prediction of diurnal warming.
- Again, the result cannot support the contribution of swells in surface mixing.
- Different locations and long span, almost no over-fitting issue [Removing U10 largely impacts the prediction]

# Results

## Model Evaluation — Diurnal Warming



➤ The satellite data shows a similar result.

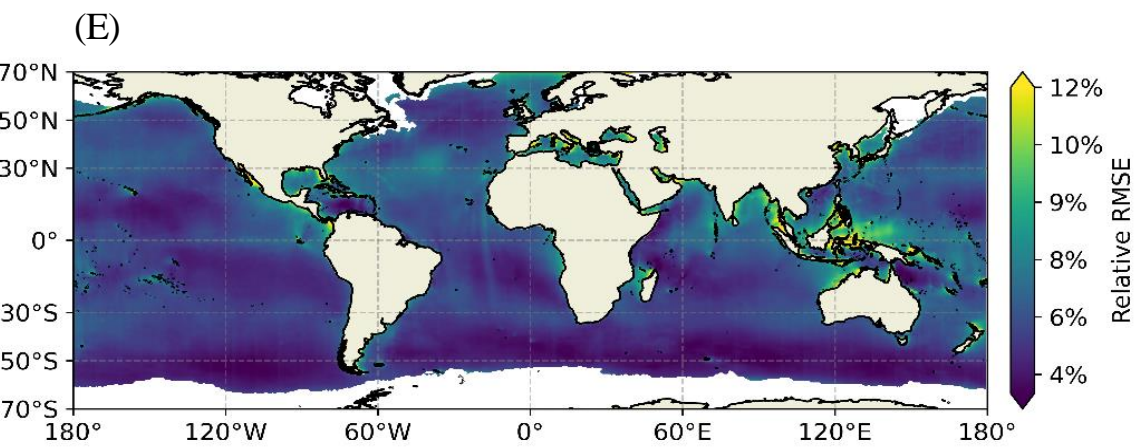
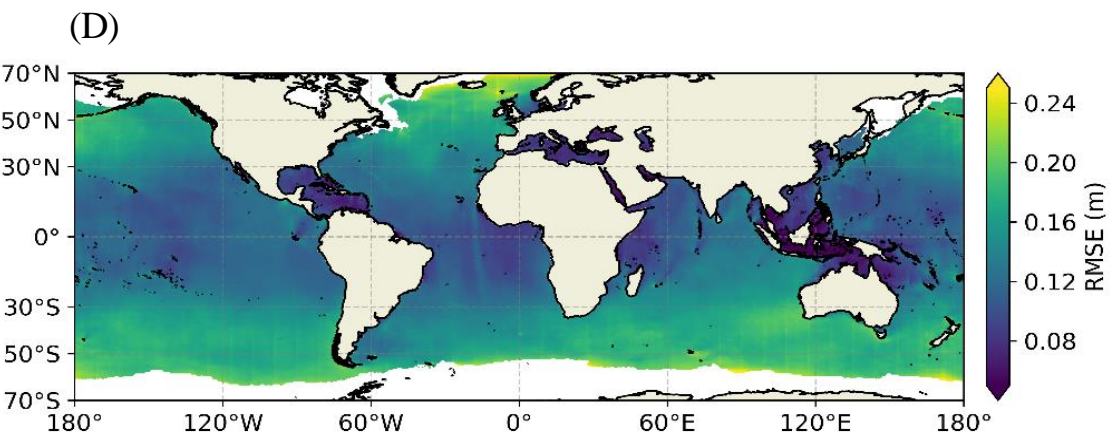
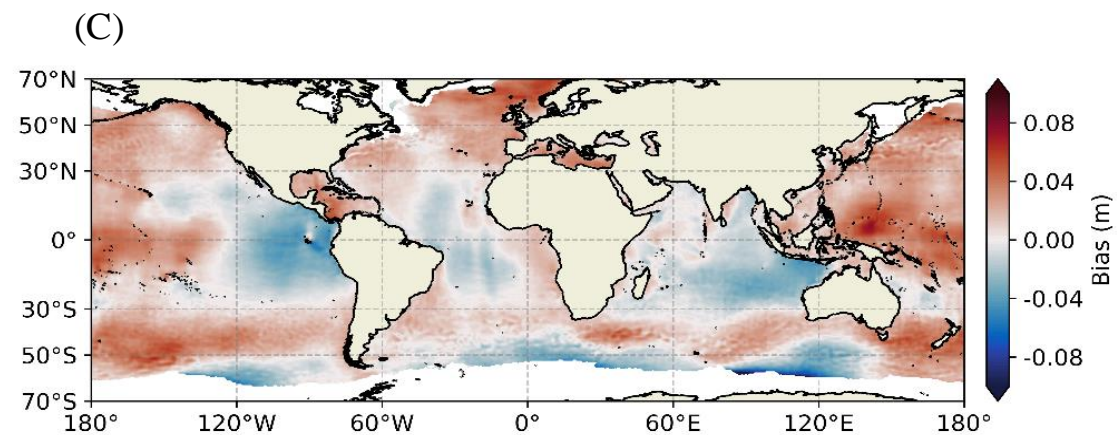
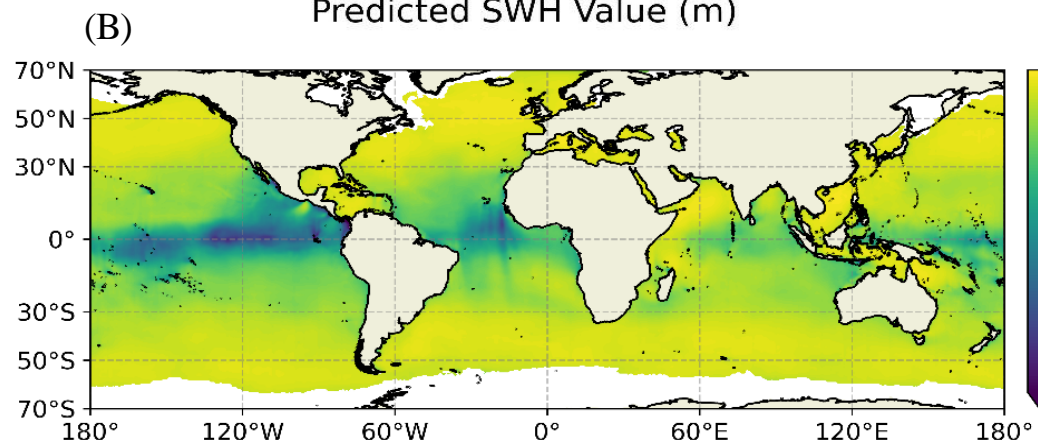
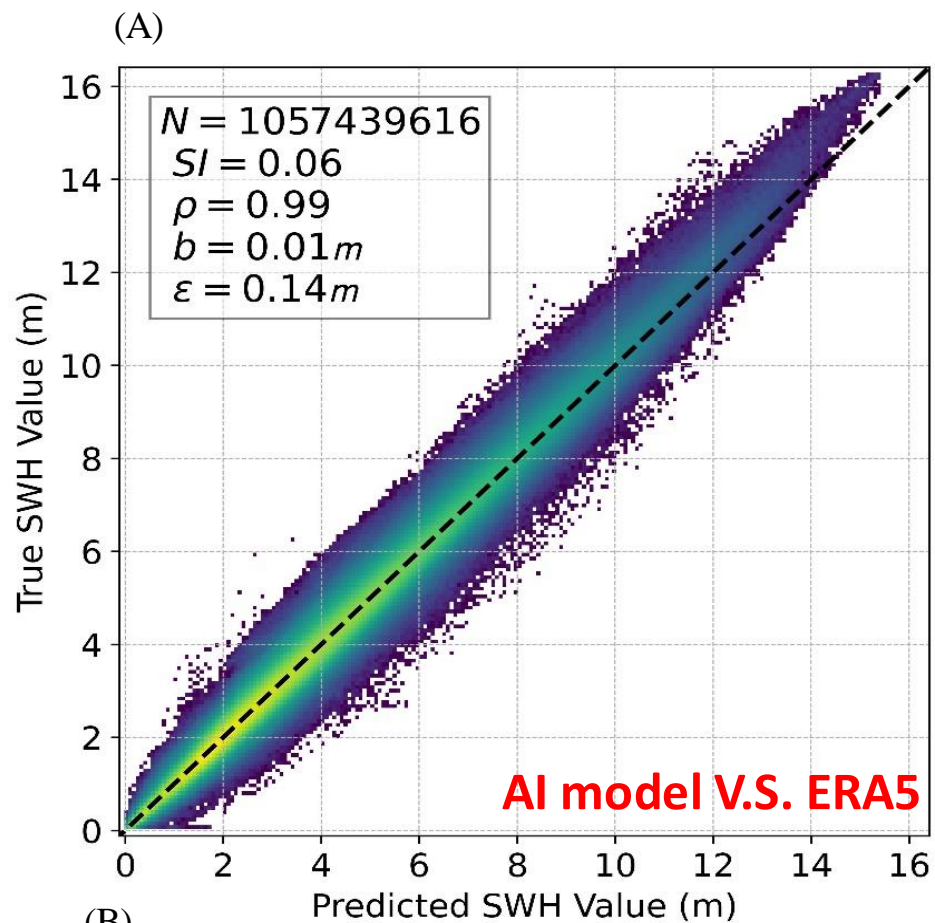
# Summary

- **Data-driven models were trained to predict the magnitude of cool skin and diurnal warming.**
- **We tried to use U10 and U10+SWH as the input of the model during model training.**
- **Adding SWH as input is not helpful for a better prediction.**
  
- **Does it mean swells do not contribute to surface mixing?**
- **Maybe it is because something is wrong with my understanding of this issue but I failed to realize.**

**Criticism is welcome!**

**Thank you!**

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**0.5 hour for 1-yr (0.5 deg 3h) modelling on a PC**